



N O R T H W E S T E R N

U N I V E R S I T Y

MSIT | Master of Science in Information Technology

# MSIT 413: Wireless Technologies

## *Week 7*

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*February 2017*



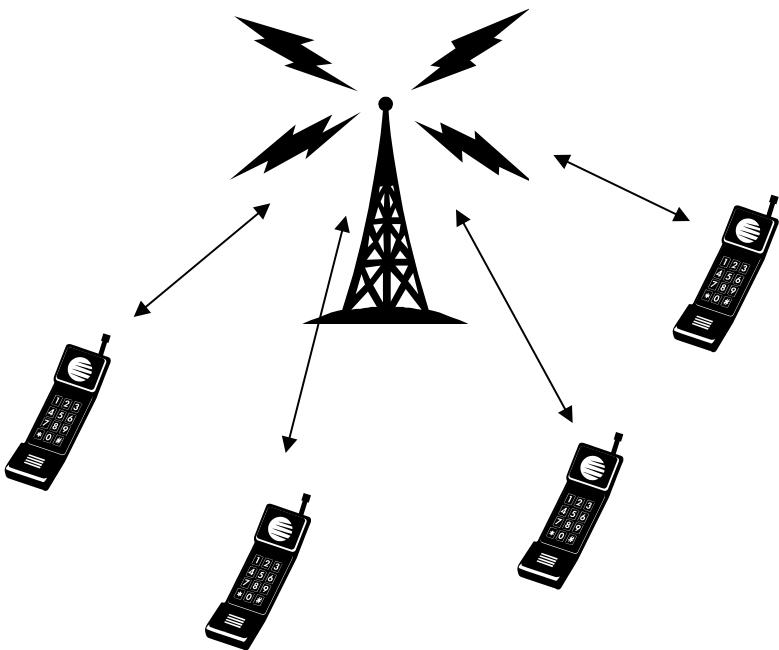
# Outline

- Finish discussion of CDMA
- Frequency hopping
- Random access
- Midterm review



# The Multiple Access Problem

How can multiple mobiles access (communicate with) the same base station?



- Use different frequencies (FDMA)
- Use different time slots (TDMA)
- Use different pulse shapes (CDMA)



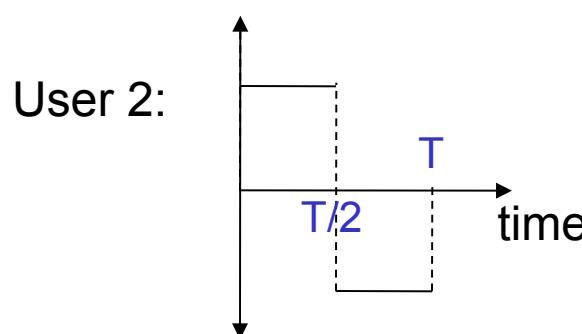
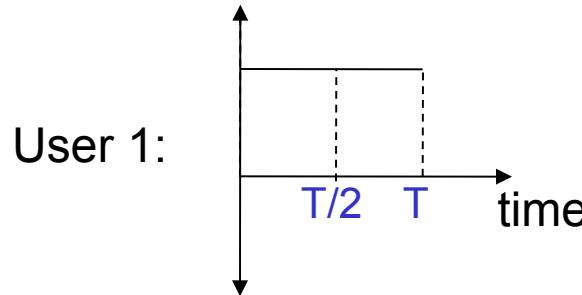
# Code Division Multiple Access



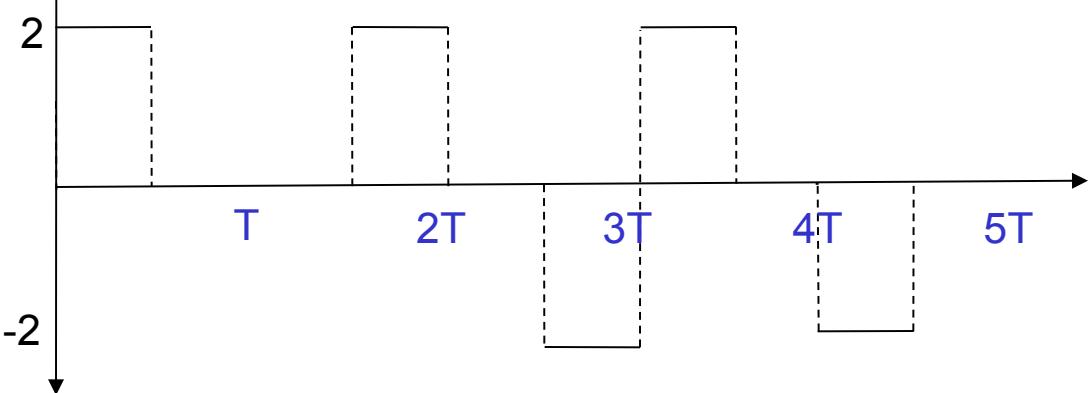
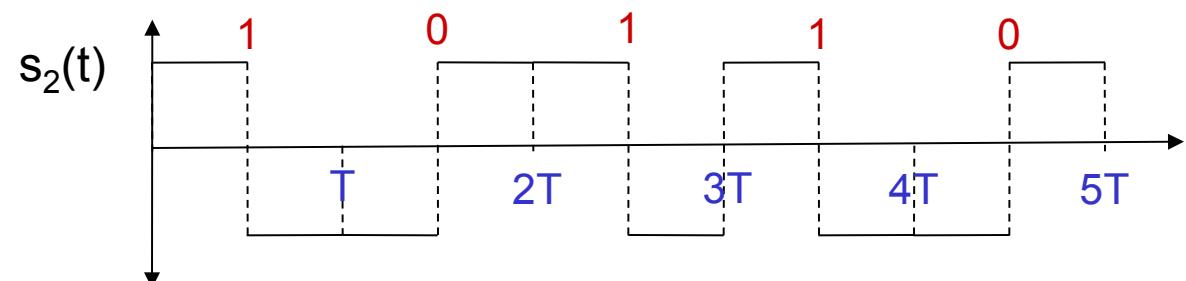
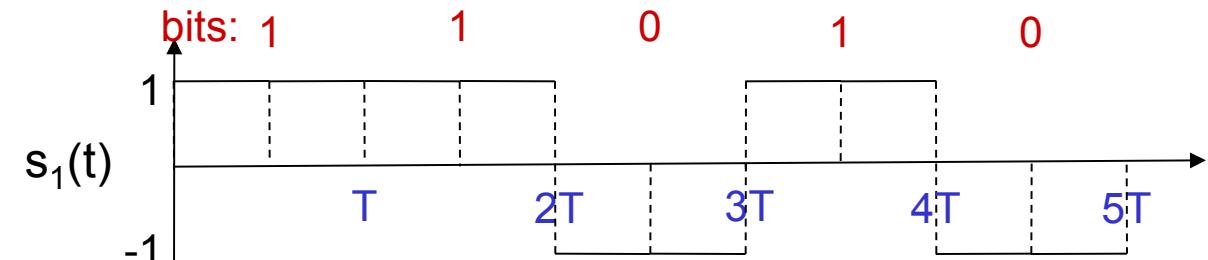
- Users transmit simultaneously over the same frequency band
- Performance limited by interference



## Two-User Example



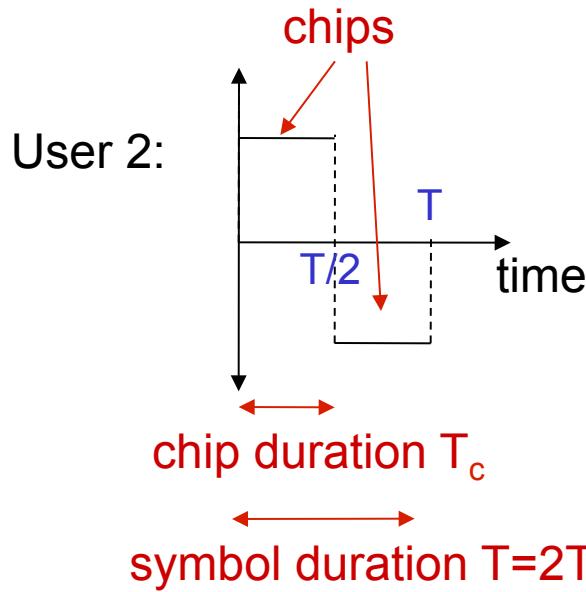
received signal  
 $r(t) = s_1(t) + s_2(t)$



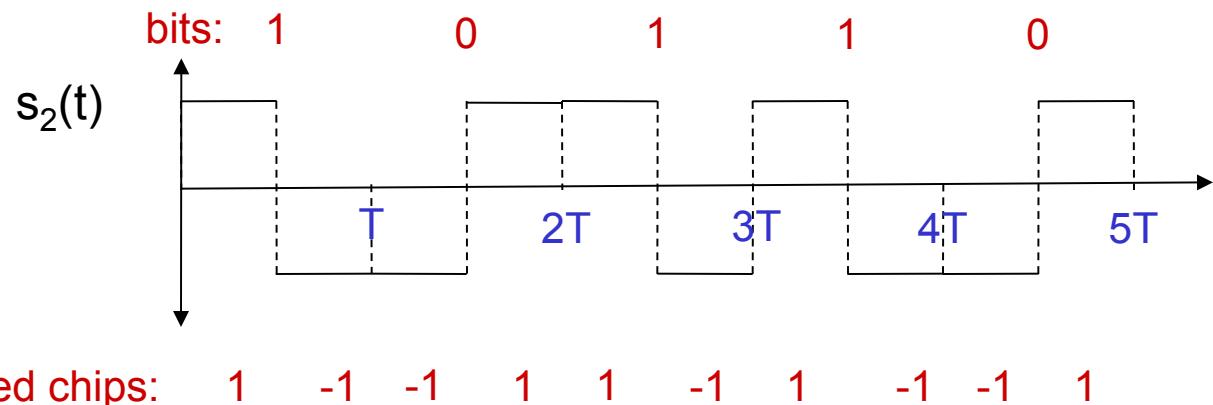
How to recover each users' bits?



# Chip Sequence

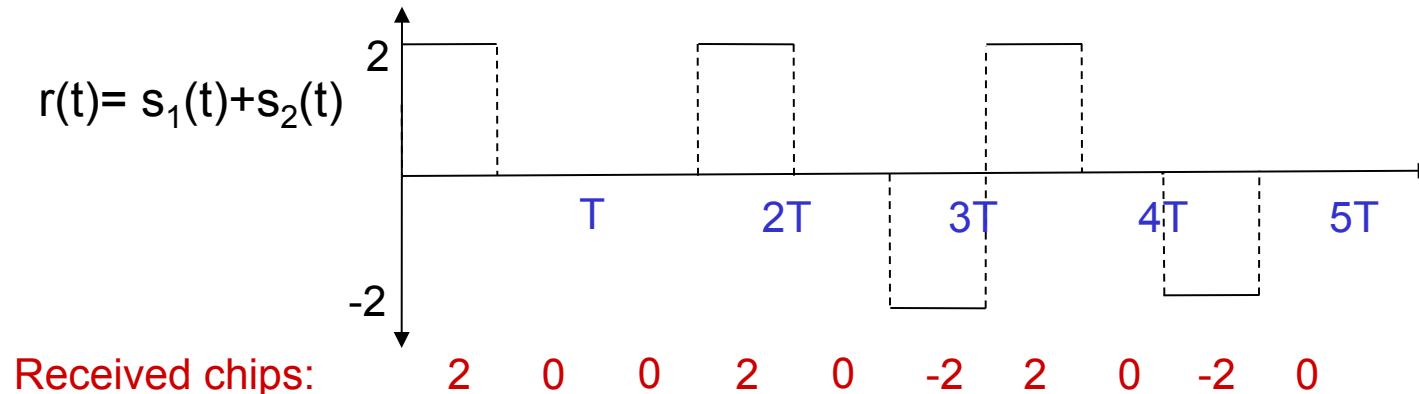
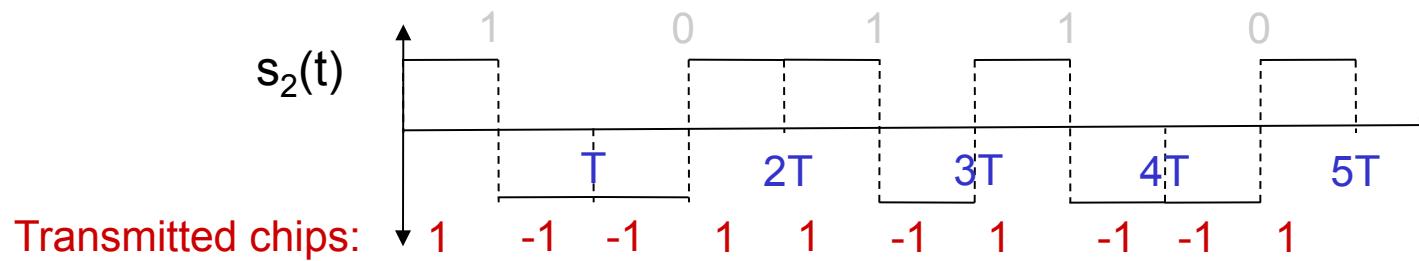
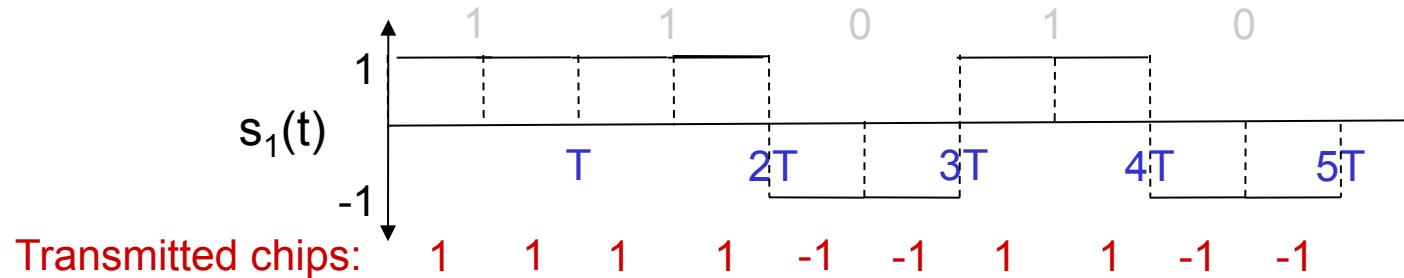


User 2's chip sequence (1, -1) is called a **signature**.



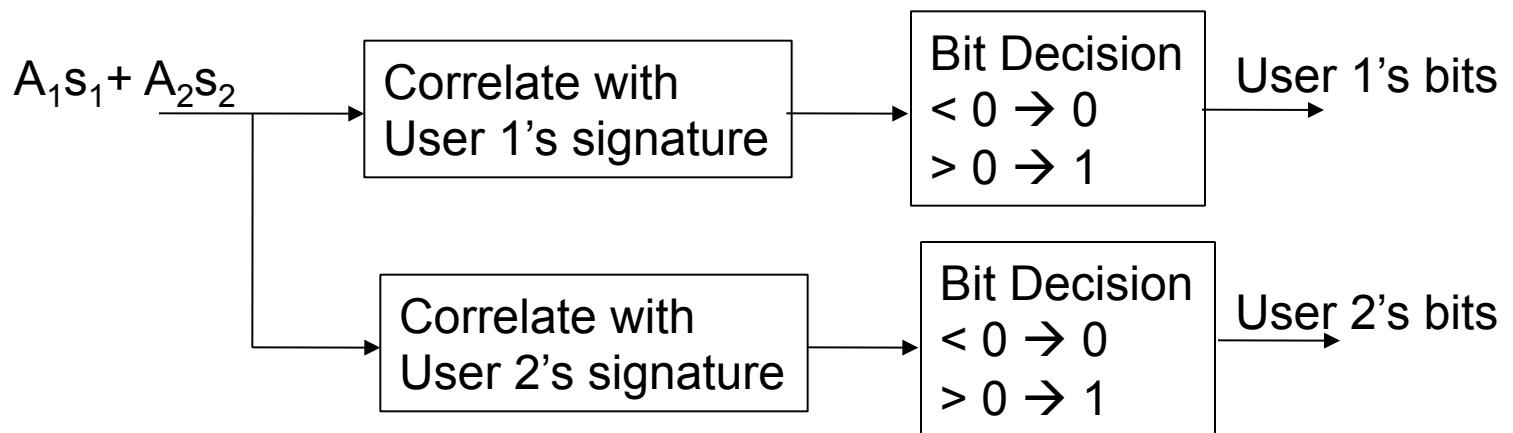


## Two-User Example





# Correlator, or “Matched Filter” Receiver

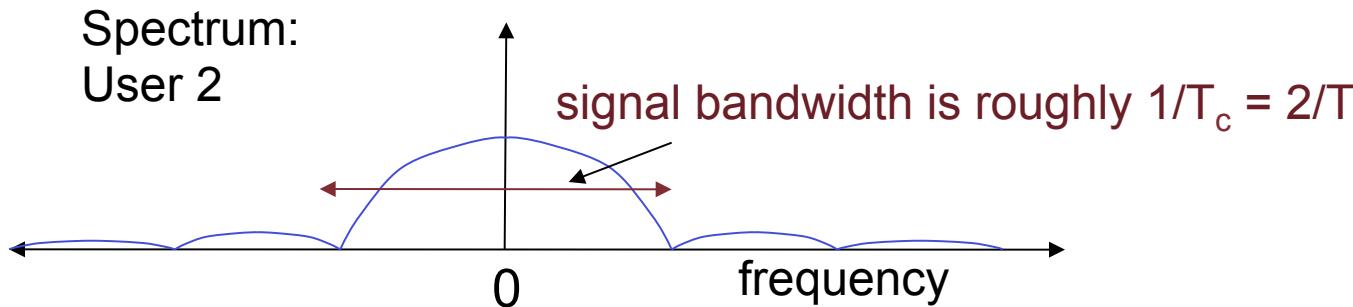
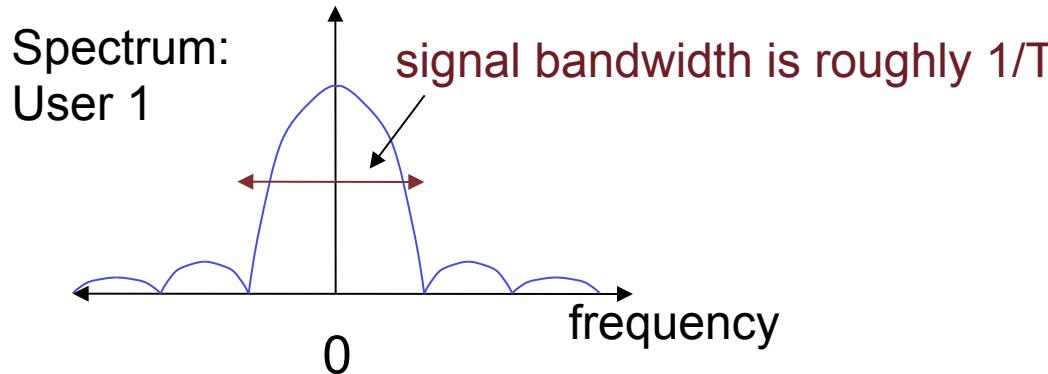


The correlator is “matched” to user 1’s signature  $s_1$ , and rejects  $s_2$  (and vice versa).



# Observations

- Users transmit simultaneously (not TDMA).
- Users overlap in frequency (not FDMA).



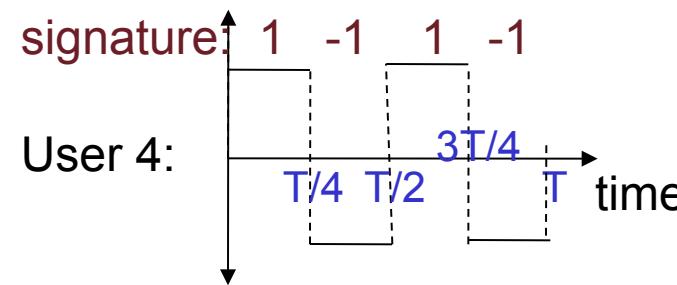
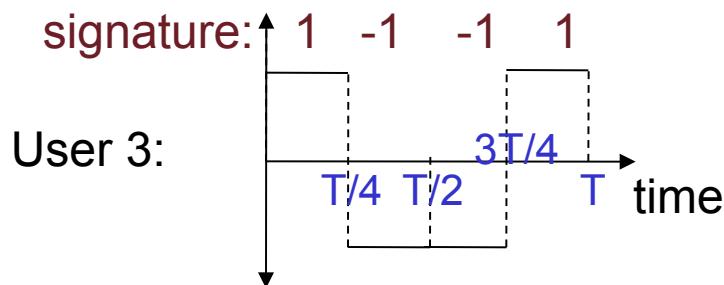
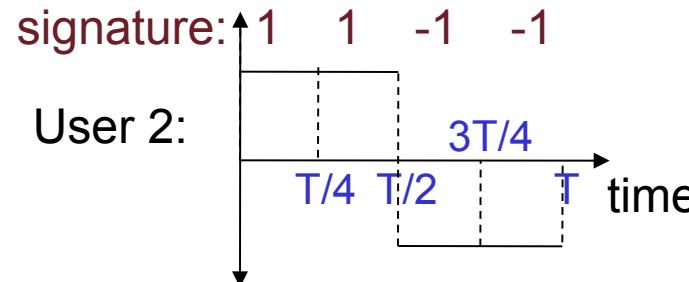
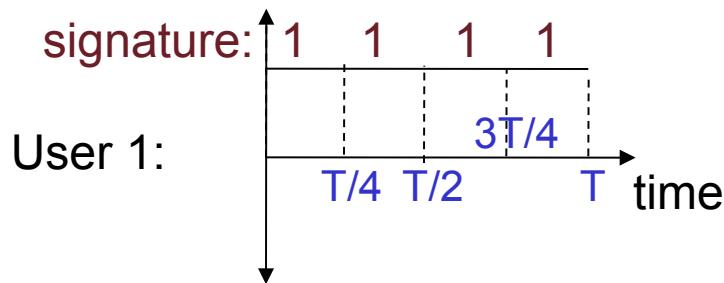
Bandwidth expansion (factor of 2)  $\rightarrow$  “spread spectrum” signaling.



# Users and Bandwidth Expansion

To guarantee orthogonal signatures (no interference), the length of the signatures must be  $\geq$  the number of users.

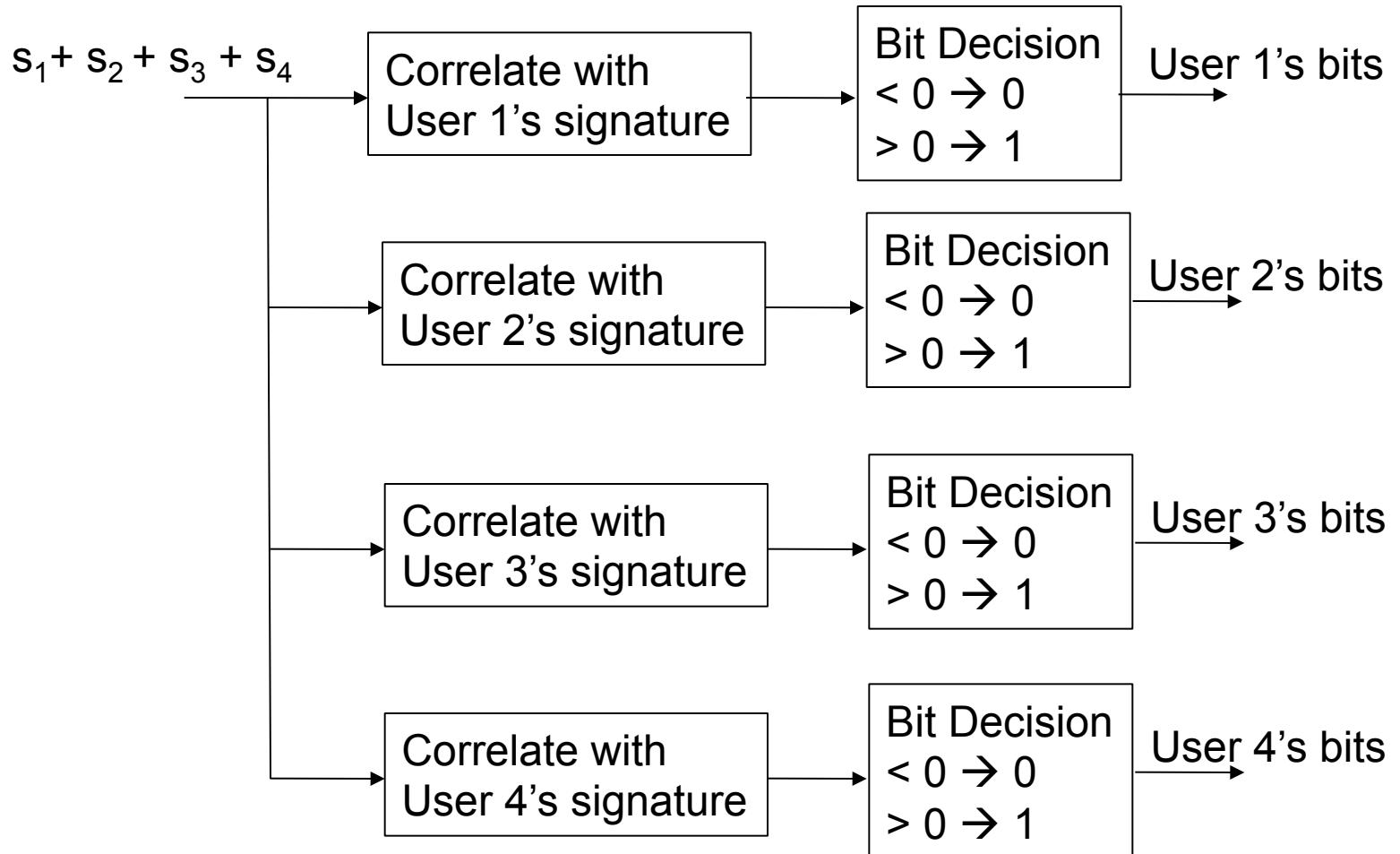
Example (4 users):



The chip rate is 4 times the symbol rate, hence the bandwidth expansion is a factor of 4.

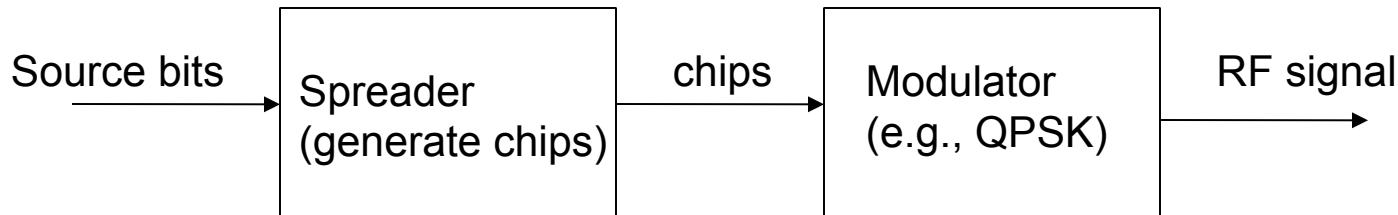


# Correlator Receiver (4 users)

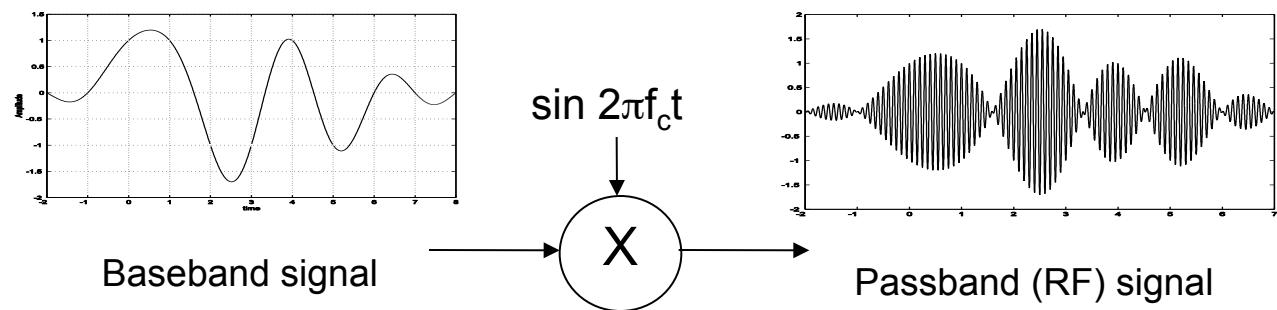
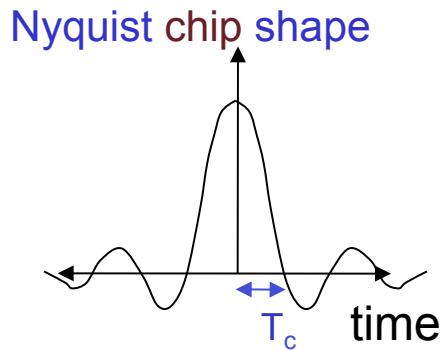




# DS-CDMA Transmitter

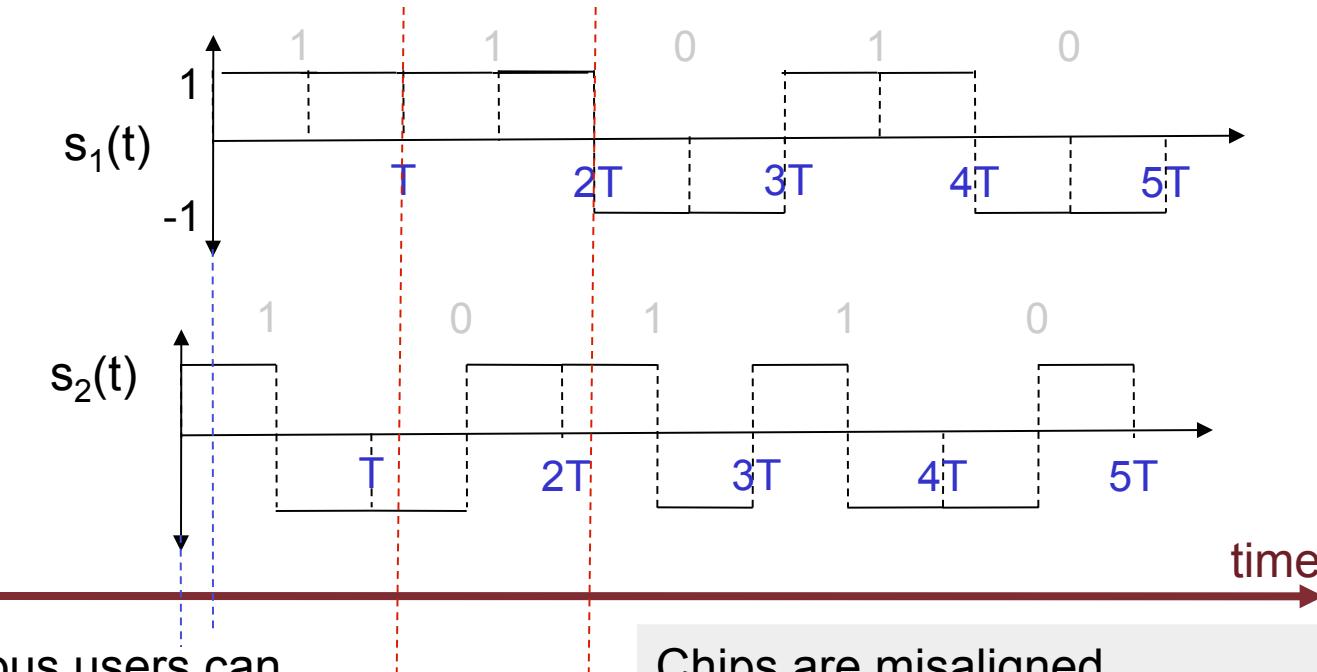


Ex: 100 source symbols, processing gain = 10  $\rightarrow$  1000 chips





# Orthogonality and Asynchronous Users



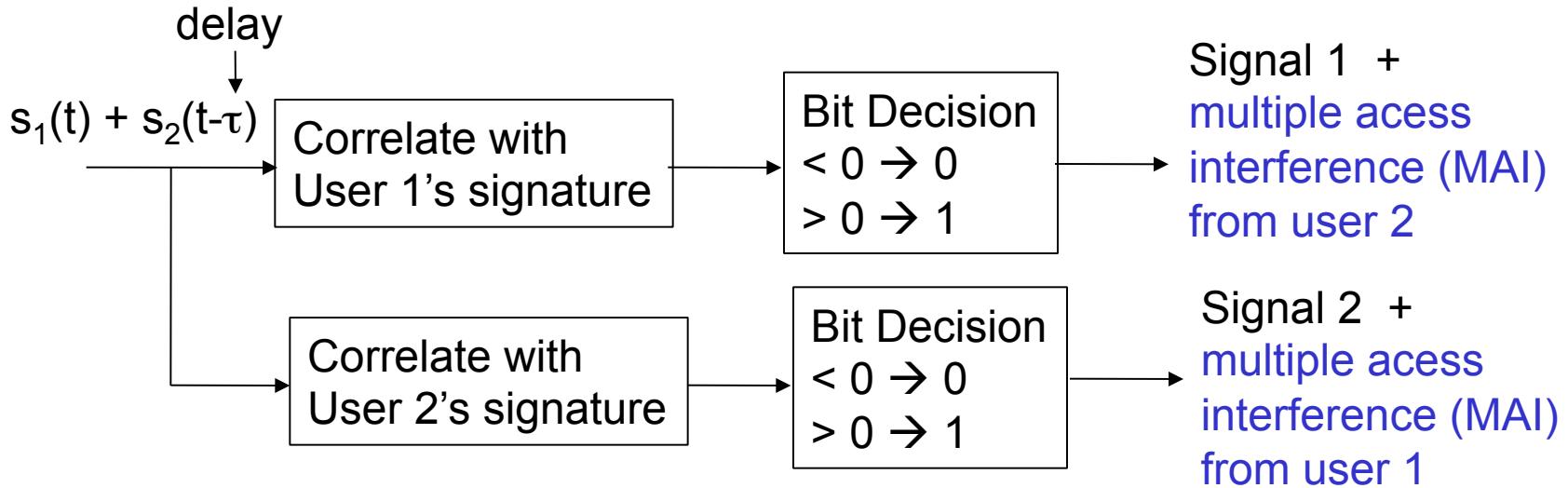
Asynchronous users can start transmissions at different times.

Chips are misaligned  
→ signatures are no longer orthogonal!

- Orthogonality among users requires:
  - Synchronous transmissions
  - No multipath



# Correlator, or “Matched Filter” Receiver



The multiple access interference adds to the background noise and can cause errors. For this reason, CDMA is said to be *interference-limited*.

Because CDMA users are typically asynchronous, and because of multipath, it is difficult to maintain orthogonal signatures at the receiver. Consequently, in practice, the signatures at the transmitter are *randomly generated*.



# Processing Gain (PG)

The performance of CDMA depends crucially on the **Processing Gain**:  
Bandwidth of spread signal / Symbol rate (minimum bandwidth needed)  
or equivalently,  
Number of chips per symbol



# Correlation and PG

Example: PG=4

$s_1: 1 \ -1 \ -1 \ 1$

$s_2: 1 \ 1 \ 1 \ -1$

Correlation = -2

Energy in  $s_1$  (or  $s_2$ ) is  $1^2 + (-1)^2 + (-1)^2 + 1^2 = 4$

Normalized correlation = correlation/energy =  $-2/4 = -1/2$

Example: PG=10

Conclusion: On average, the correlation between signatures decreases as the signature length (PG) increases.



# Processing Gain (PG)

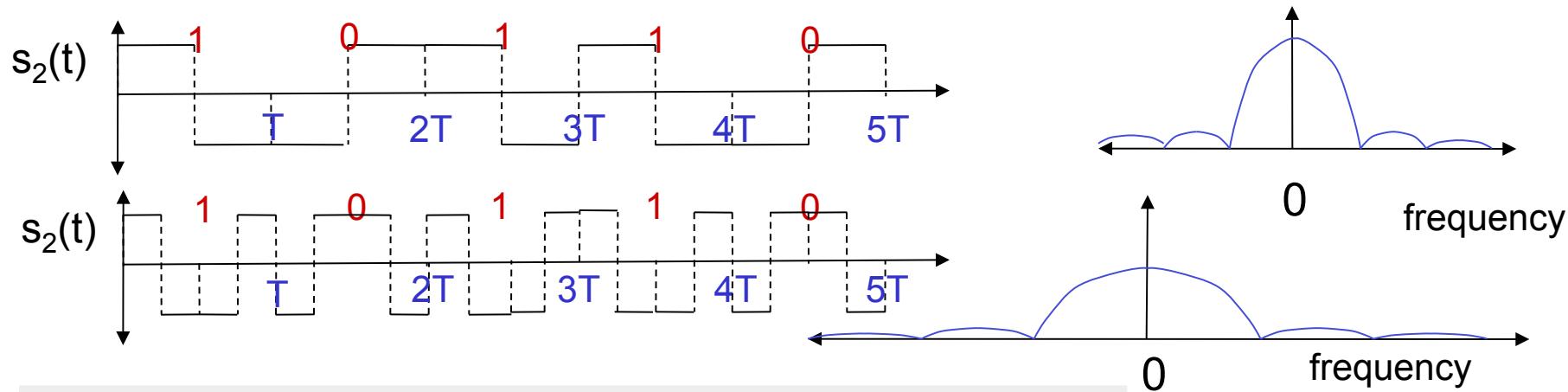
The performance of CDMA depends crucially on the Processing Gain:  
Bandwidth of spread signal / Symbol rate (minimum bandwidth needed)  
or equivalently,  
Number of chips per symbol

Fundamental tradeoff: increasing the PG

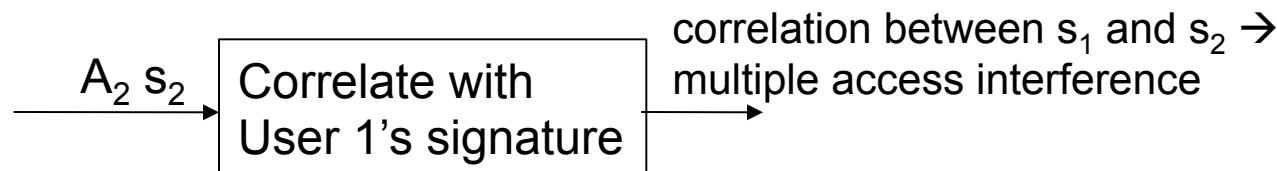
- decreases the correlation between random signatures.
- **decreases interference.**
- **increases the bandwidth of the signal.**



# Correlation and Bandwidth



Increasing the PG increases bandwidth, but decreases the correlation between user signatures.



Increasing the PG decreases multiple access interference.

**Bandwidth expansion therefore provides “immunity” to interference**  
(all kinds: analog, multiple access, multipath, narrowband, etc).



# Example

- IS-95 (2G CDMA)
  - Total bandwidth = 1.25 MHz
  - Data rate = 9.6 kbps
  - PG  $\approx$  130
- 3G/CDMA2000
  - Total bandwidth = 1.25 MHz
  - Data rate varies between 14.4. kbps (voice) up to 2 Mbps (1X-DO)
  - PG varies from 1 to 130



## Properties of CDMA

- Robust with respect to interference
- No frequency assignments (eases frequency planning)
- Asynchronous
- High capacity with power control
  - Power control needed to solve near-far problem.
- Wideband: benefits from frequency/path diversity.
- Benefits from voice inactivity and sectorization.
- No loss in trunking efficiency.
- Soft capacity: performance degrades gradually as more users are added.
- Soft handoff

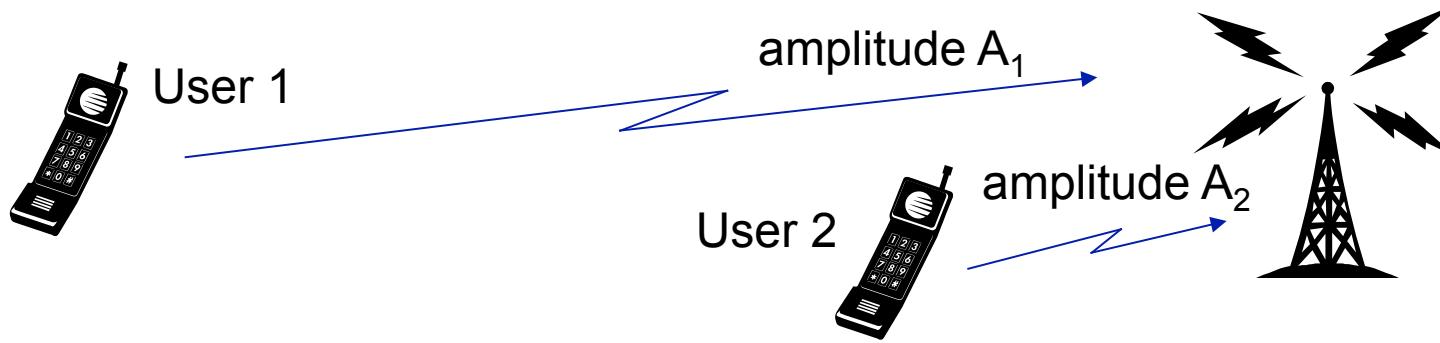


# Near-Far Problem





## Near-Far Problem

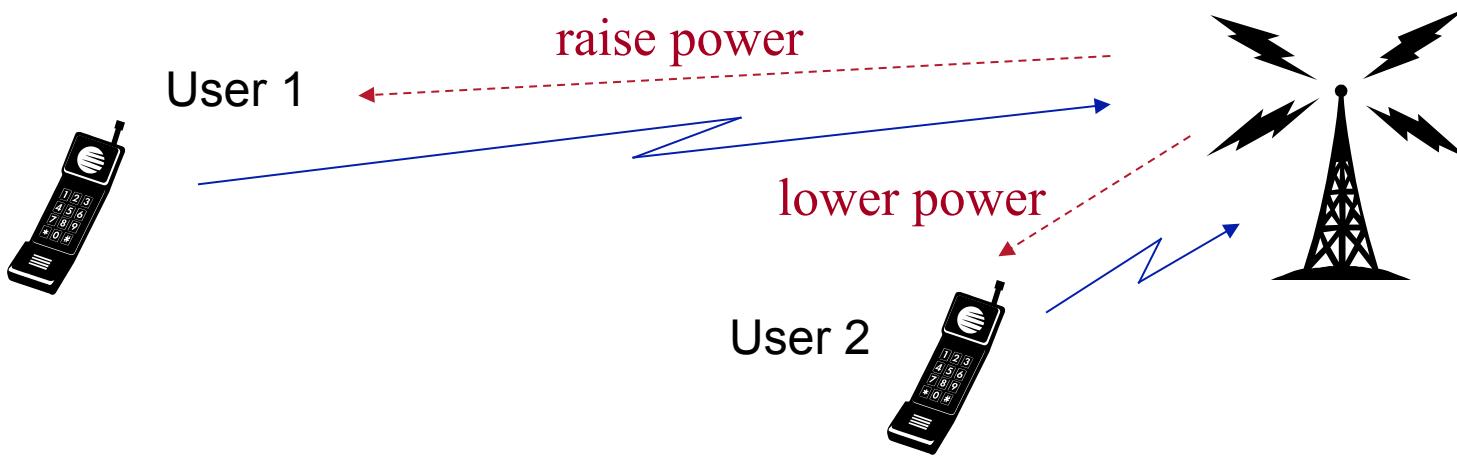


Output of correlator receiver is signal + interference. As the interferer moves closer to the base station, the interference increases. In practice, power variations can be up to 80 dB!

**Conclusion:** User 1's signal is overwhelmed by interference from user 2!



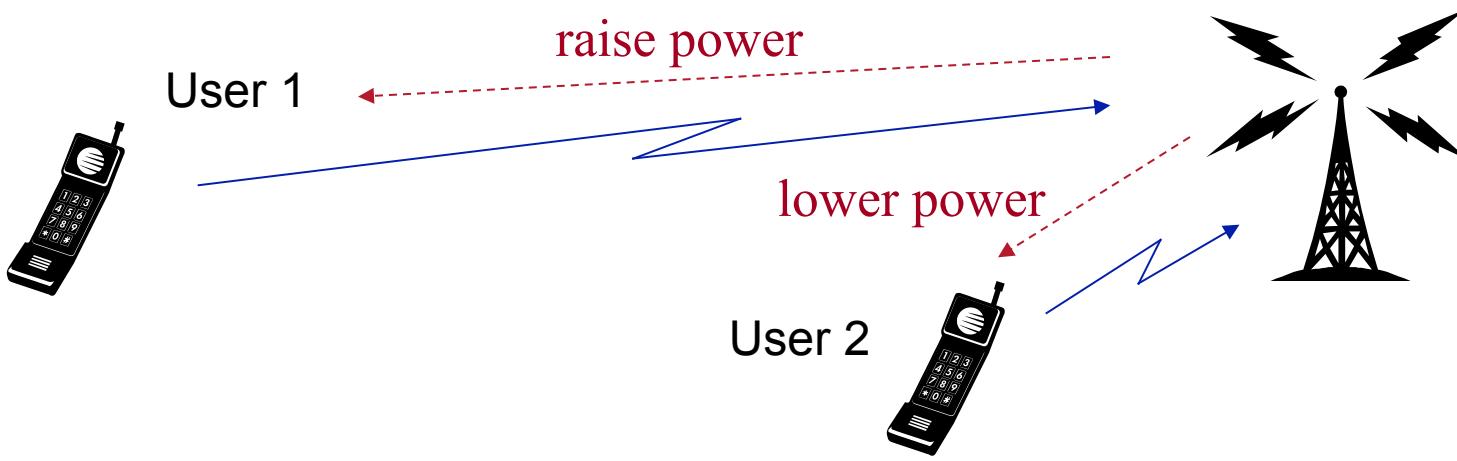
# Closed-Loop Power Control



- Base station gives explicit instructions to mobiles to raise/lower power.
- Needed to solve near-far problem (equalizes received powers).
- Introduced by Qualcomm in the late 80's.



# Closed-Loop Power Control: Properties



- Crucial part of CDMA cellular systems (IS-95, 3G).
- Minimizes battery drain.
- Complicated (increases cost)
- Requires overhead: control bits in feedback channel to tell transmitter to lower/raise power

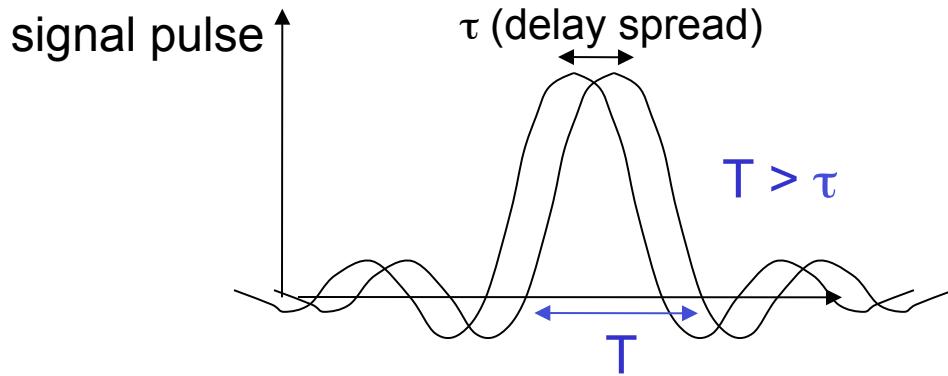
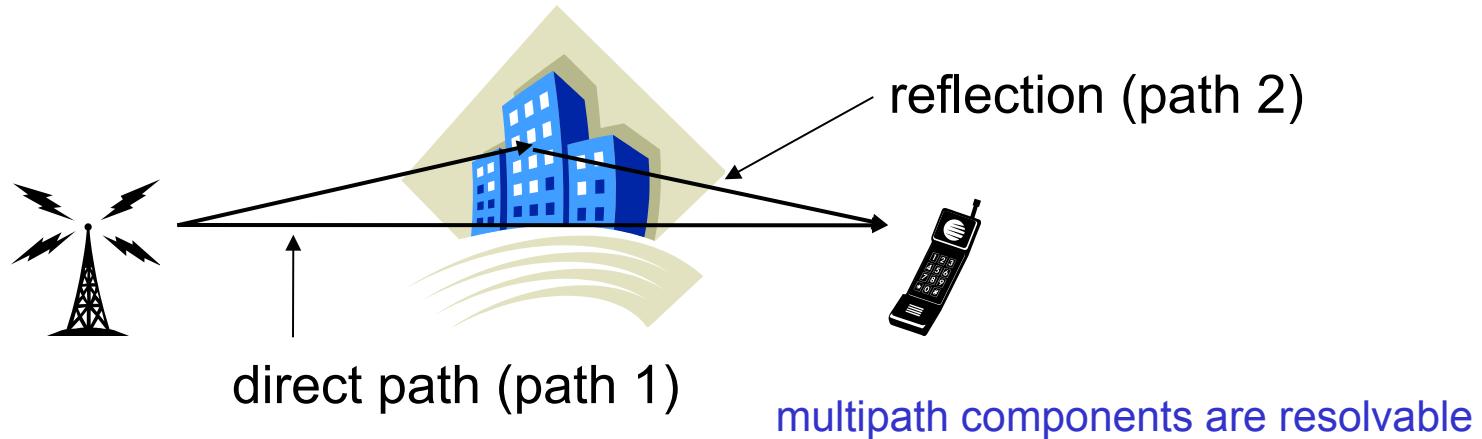


## Properties of CDMA

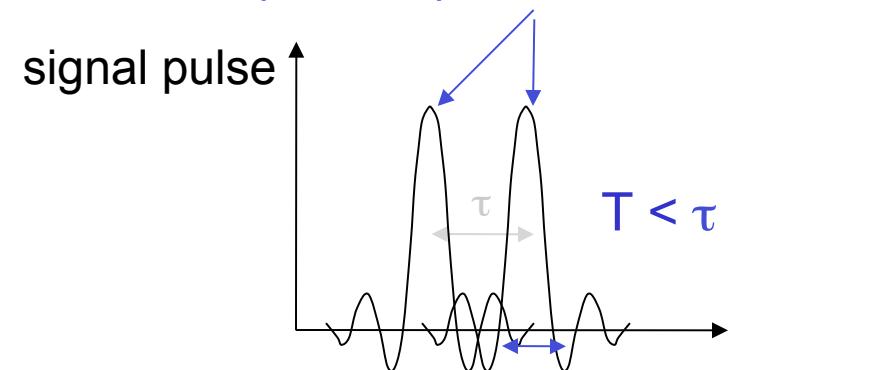
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# Bandwidth and Multipath Resolution



Narrow bandwidth  $\rightarrow$  low resolution  
Receiver cannot distinguish the two paths.

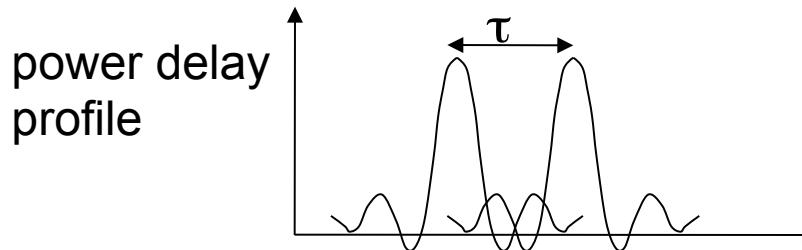


Wide bandwidth  $\rightarrow$  high resolution  
Receiver can clearly distinguish two paths.

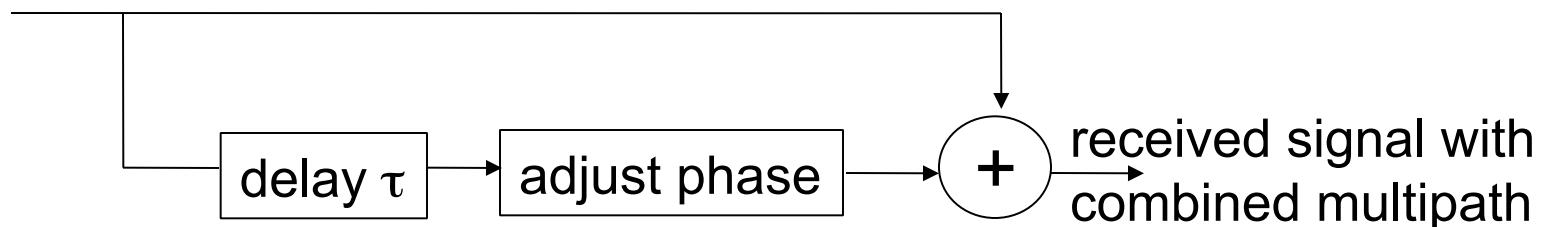


# CDMA and Path Diversity

- CDMA uses wideband signals (chips are very narrow pulses), so that multipath is **resolvable**.
- A “RAKE” receiver collects (“rakes up”) the energy in the paths:



received signal





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# CDMA Capacity

Performance depends on

$$\frac{E_b}{N_0} \equiv \frac{\text{Energy per bit}}{\text{Interference + Noise power per unit bandwidth}}$$

Let S= Transmitted power (per user), R= information rate (bits/sec),  
W= Bandwidth, K= Number of users

$E_b = S/R$  (energy per second / bits per second)

$N_0 = (\text{Number of interferers} \times S)/W = ((K-1) \times S)/W$

Therefore  $E_b/N_0 = (W/R)/(K-1) = (\text{Processing Gain})/(K-1)$

For a target  $E_b/N_0$ , the number of users that can be supported  
is  $K = (\text{Processing Gain})/(E_b/N_0) + 1$



# CDMA Capacity: Example

- For IS-95, want  $E_b/N_0 \geq 7$  dB
- For 3G, want  $E_b/N_0 \geq 3$  to 5 dB
- Suppose  $W=1.25$  MHz (single-duplex),  $R= 14.4$  kbps, target  $E_b/N_0 = 7$  dB:

$$K = 1 + [(1.25 \times 10^6)/(14.4 \times 10^3)]/5.01 \approx 18$$

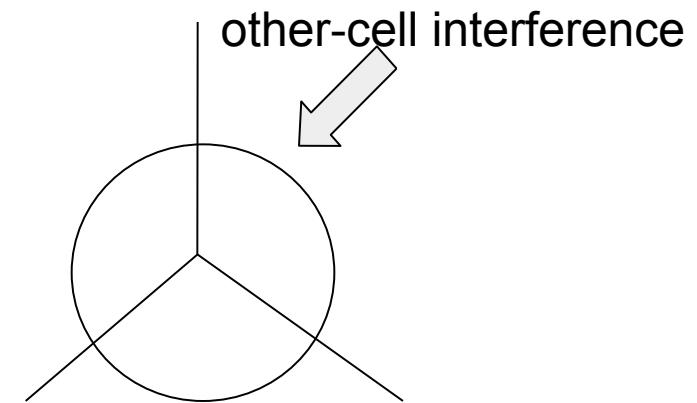
- Compare with GSM, cluster size  $N=3$ :

$$\begin{aligned} K &= 8 \text{ (users/channel)} \times (\# \text{ of } 200 \text{ kHz channels}) \\ &= 8 \times 1.25 \times 10^6 / (200 \times 10^3 \times 3) \approx 16 \end{aligned}$$



# Increasing CDMA Capacity

- Must reduce interference
- Antenna sectorization
  - Interference reduced by 1/3
  - Trunking efficiency is not a major issue (no channels/time slots).
- Voice inactivity automatically increases the capacity relative to TDMA with dedicated time slots.
- CDMA has a “soft” capacity: each additional user marginally degrades performance for all users.





## Properties of CDMA

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# Interference and CDMA Capacity

If interference is reduced by a factor  $1/g$ , then the number of interferers can be increased by  $g$  ( $N_0$  is replaced by  $g \times N_0$ ):

$$K = 1 + \frac{W / R}{(1/g)(E_b / N_0)}$$

If  $W/R$  is large, then reducing interference by  $1/g$  (approximately) increases the capacity by a factor of  $g$ .

Previous example: voice activity of  $1/3$  combined with  $120^\circ$  sectors increases capacity by a factor of  $9$ !



# Refining the Capacity Estimate

- Capacity for previous example is  $9 \times 18 \approx 162$
- Have not accounted for:
  - Other-cell interference
    - Approximately 1/3 to 1/2 of total interference power  
 $K \rightarrow 1/(1+1/2) \times K \approx 108$
  - Multipath / fading
    - Some multipath is combined by the Rake receiver, the rest is interference
  - Power control inaccuracy

Precise capacity predictions become difficult, best to rely on field trials...

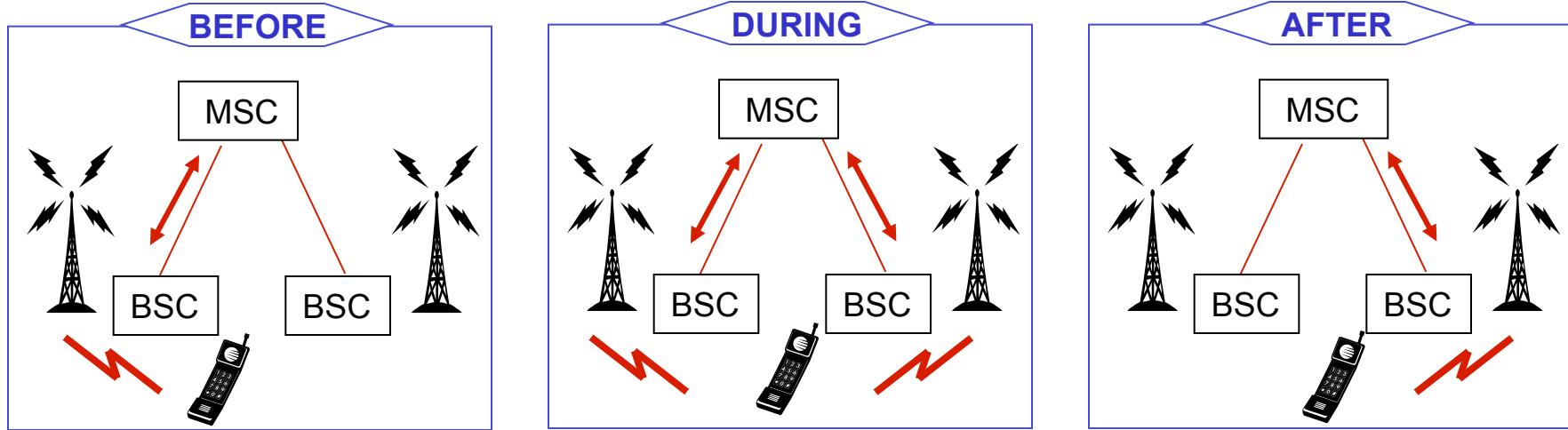


## Properties of CDMA

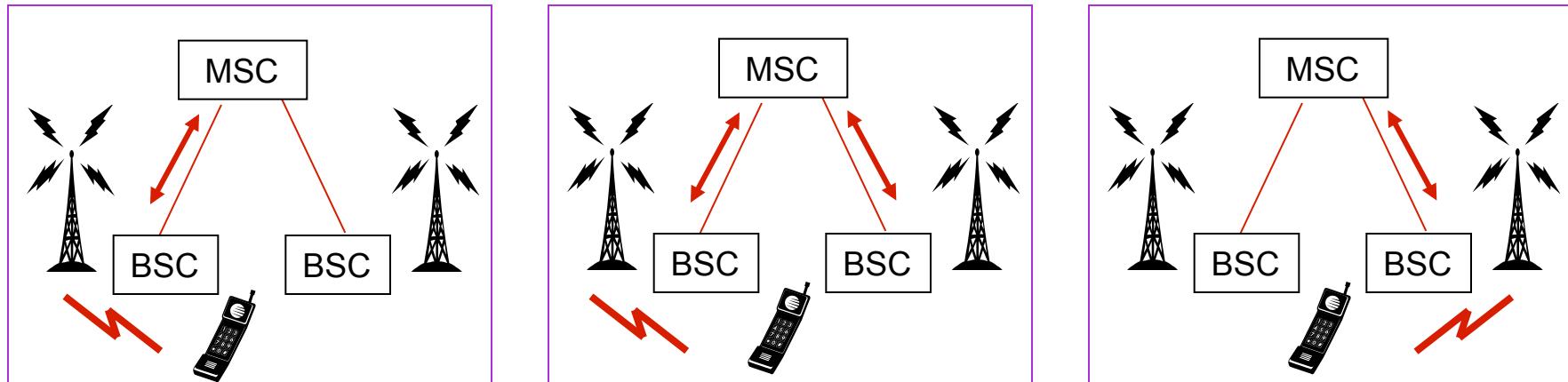
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## Soft Handoff (CDMA) "Make before break"



## Hard Handoff (TDMA)





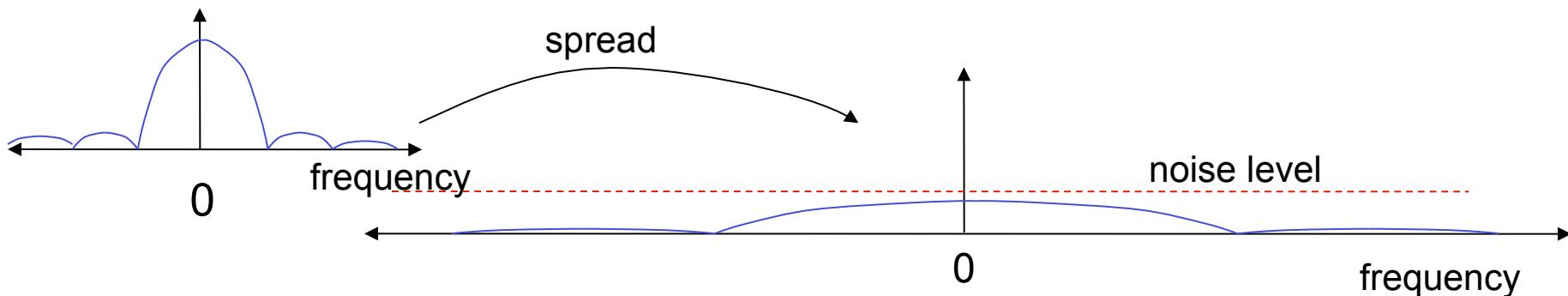
# Applications of Spread-Spectrum

- Military (preceded cellular applications)
- Cellular
- Wireless LANs (overlay)



# Military Spread Spectrum

- Can “hide” a signal by “spreading it out” in the frequency domain.



- Requires a very large PG (several 100 – 1000).
- Enemy must know spreading code (the “key” containing 100’s of bits) to demodulate – too complicated for simple search.
- Spread spectrum signals have the “LPI/LPD” property: low probability of intercept / low probability of detect.

Spread spectrum used for **covertness**, not multiple access.



# Applications of Spread-Spectrum

- Military (preceded cellular applications)
- **Cellular**
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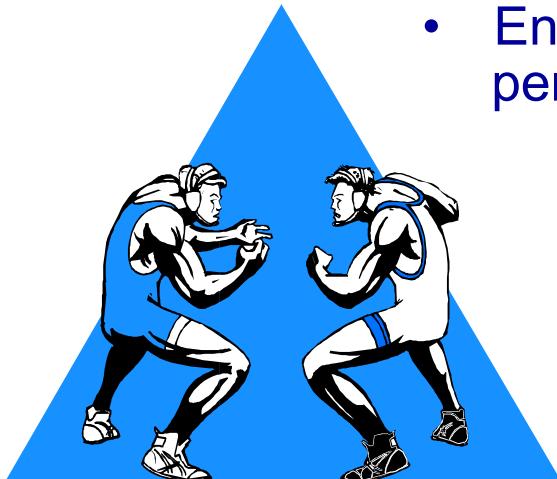
# CDMA vs. TDMA (early 1990s)

## TDMA

- Proven technology
- Large investment in research, development

## CDMA

- Earlier military applications
- Near-far problem
- Enticing (exaggerated?) performance claims





## 2G CDMA: IS-95 or cdmaOne

- Introduced by Qualcomm (San Diego)
- Direct-Sequence Spread Spectrum signaling
- FDD
- Wideband channels (1.25 MHz)
- Tight, closed-loop power control
- Sophisticated error control coding
- Multipath combining to exploit path diversity
- Noncoherent detection
- Soft handoff
- High capacity
- Air-interface only: uses IS-41



# 3G Air Interfaces

## cdma2000

- Also referred to as “multicarrier” CDMA
- 1X Radio Transmission Technology (RTT): 1.25 MHz bandwidth (1 carrier)
  - *Supports 307 kbps instantaneous data rate in packet mode*
  - *Expected throughput up to 144 kbps*
- 1xEV (Evolutionary): High Data Rate standard introduced by Qualcomm
  - *1xEV-DO: data only, 1xEV-DV: data and voice*
  - *Radio channels assigned to single users (not CDMA!)*
  - *2.4 Mbps possible, expected throughputs are a few hundred kbps*
  - *1xEV-DV has twice as many voice channels as IS-95B*

## Wideband (W)-CDMA

- Also referred to as Universal Mobile Telecommunications System (UMTS)
- European proposal to ITU (1998)
- Backwards compatibility with 2G GSM and IS-136 air interfaces
- Network and frame structure of GSM
- “Always on” packet-based data service
- Supports packet data rates up to 2 Mbps
- Requires minimum 5 MHz bandwidth, FDD, coherent demodulation
- 6 times spectral efficiency of GSM



## Service Providers and Technologies

Verizon <sup>2</sup>	Cellular & PCS (850 & 1900 MHz)	CDMA 2000; 1 x EV-DO	8-128 Kbps up to 2.5 Mbps
ATT/Cingular	Cellular (850 & 1900 MHz)	GSM/GPRS/EDGE UMTS/HSPA	up to 512 kbps
Sprint; Clearwire <sup>3</sup>	PCS (1900 MHz)	CDMA2000; 1 x EV-DO	8-128 Kbps up to 2.5 Mbps
T-Mobile	PCS (1900 MHz)	GSM/GPRS/EDGE	8-350 Kbps
NexTel <sup>1</sup>	Public service band (800 MHz)	iDEN (TDMA) & WiDEN <sup>4</sup>	25-64 kbps near 100 kpbs
U. S. Cellular	Cellular & PCS (850 & 1900 MHz)	1 x EV-DO	up to 2.5 Mbps

<sup>1</sup>Merged with Sprint.

<sup>2</sup>Plans to deploy LTE.

<sup>3</sup>Rolled out WiMax  
in Baltimore, Portland.

<sup>4</sup>Wideband version of iDEN.



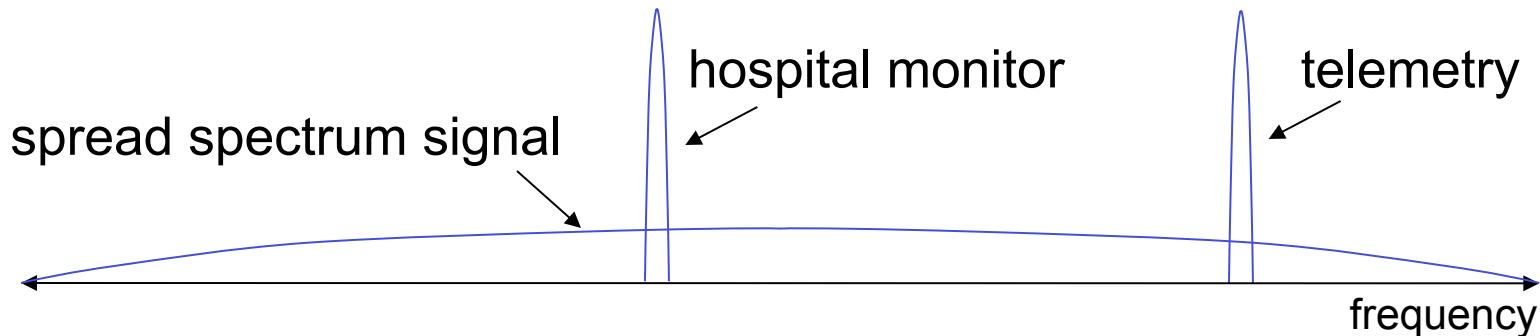
# Applications of Spread-Spectrum

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# Spread Spectrum Underlay

- FCC requirements on spectrum sharing in the unlicensed (Industrial, Scientific, Medical (ISM)) bands:
  - “Listen before talk”
  - Transmit power is proportional to the square root of the bandwidth.



- Spread spectrum signaling is robust with respect to a narrowband interferer.
- To a narrowband signal, a spread spectrum signal appears as low-level background noise.



N O R T H W E S T E R N

U N I V E R S I T Y

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# Variable-Rate CDMA



# Variable-Rate CDMA

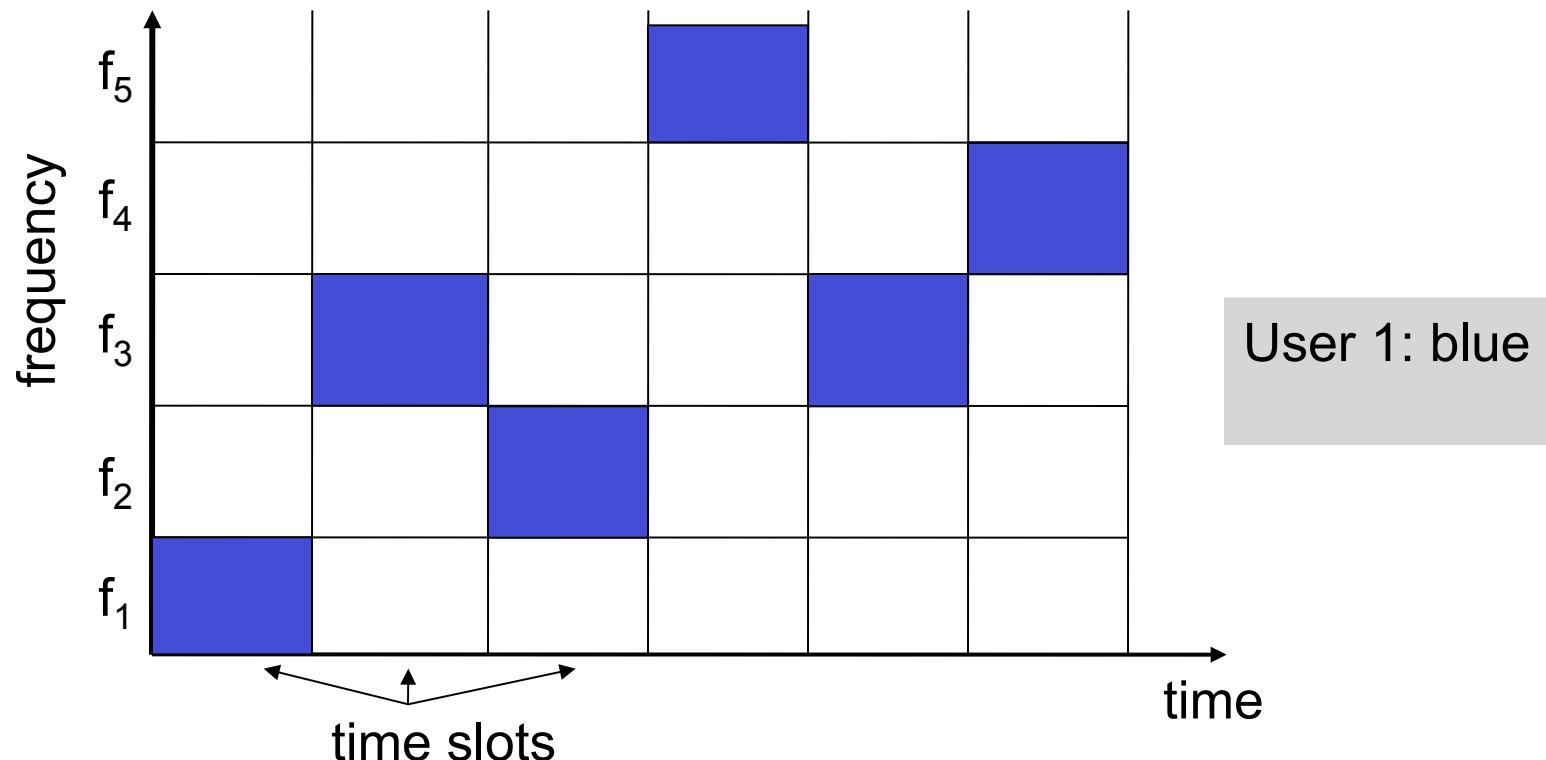
To increase the data rate we can:

- Increase the number of signatures per user
  - More signatures → more power, more interference
- Reduce the number of chips per bit
  - Decreases immunity to interference (must increase power)
- Increase the number of bits per symbol
  - QPSK → 8-PSK → 16 QAM ...  
requires more power
- How is voice capacity affected by the presence of high-rate data users?



# Frequency-Hopped CDMA

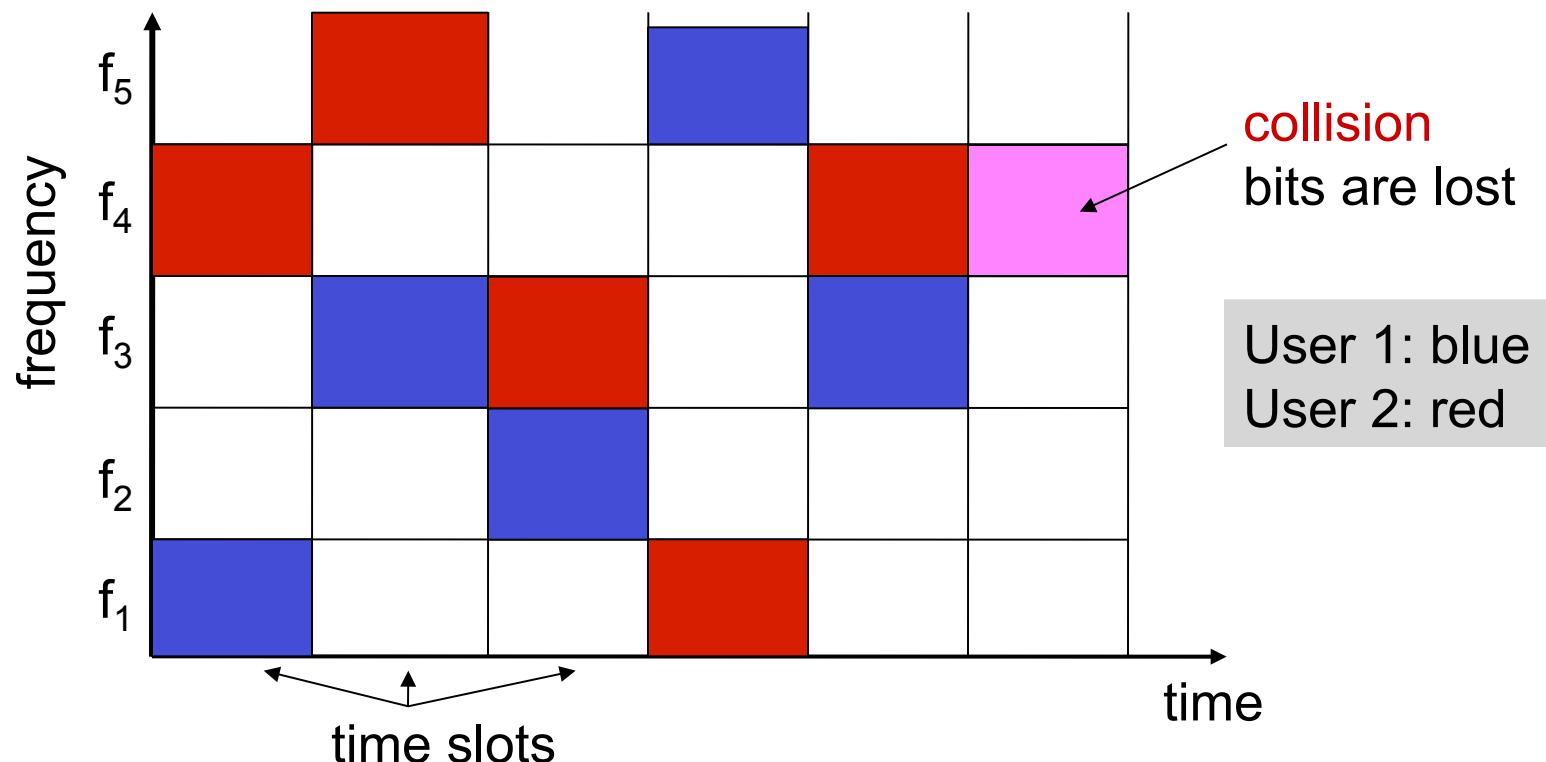
Idea: “Hop” from channel to channel during each transmission.





# Frequency-Hopped CDMA

Idea: “Hop” from channel to channel during each transmission.





# Hop Rate

- Can make synchronous users orthogonal by assigning hopping patterns that avoid collisions.
- “Fast” hopping generally means that the hopping period is less than a single symbol period.
- “Slow” hopping means the hopping period spans a few symbols.
- The hopping rate should be faster than the fade rate (why?).



# Hop Rate

- Can make synchronous users orthogonal by assigning hopping patterns that avoid collisions.
- “Fast” hopping generally means that the hopping period is less than a single symbol period.
- “Slow” hopping means the hopping period spans a few symbols.
- The hopping rate should be faster than the fade rate so that the channel is stationary within each hop.

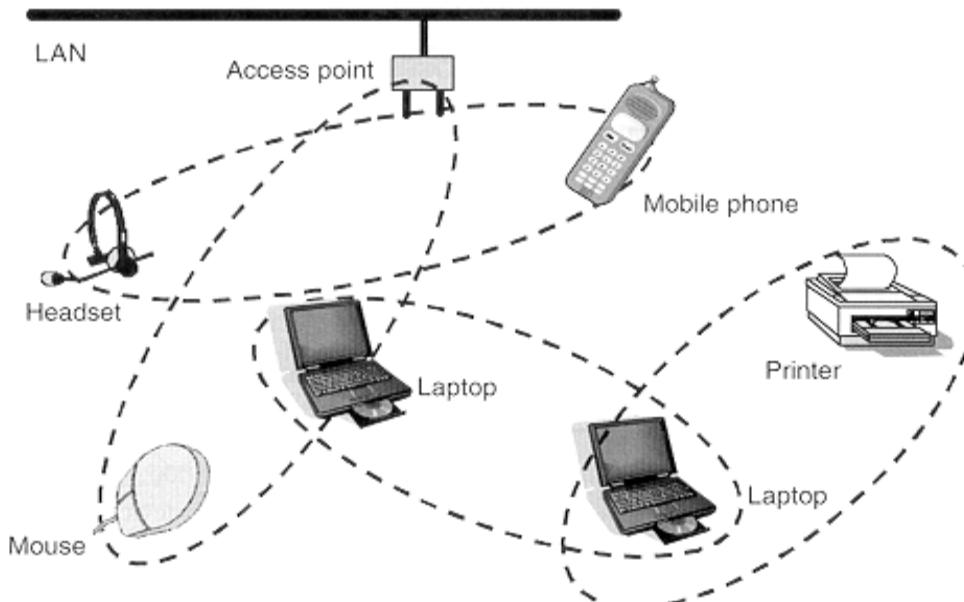


# Properties of FH-CDMA

- Exploits frequency diversity (can hop in/out of fades)
- Can avoid narrowband interference (hop around)
- No near-far problem (Can operate without power control)
- Low Probability of Detect/Intercept
- Spread spectrum technique – can overlay
- Cost of frequency synthesizer increases with hop rate
- Must use error correction to compensate for erasures due to fading and collisions.
- Applications
  - Military (army)
  - Part of original 802.11 standard
  - Enhancement to GSM
  - Bluetooth



# Bluetooth: A Global Specification for Wireless Connectivity



- Wireless Personal Area Network (WPAN).
- Provides wireless voice and data over short-range radio links via low-cost, low-power radios (“wireless” cable).
- Initiated by a consortium of companies (IBM, Ericsson, Nokia, Intel)
- Standard has been developed (IEEE 802.15.1 ).



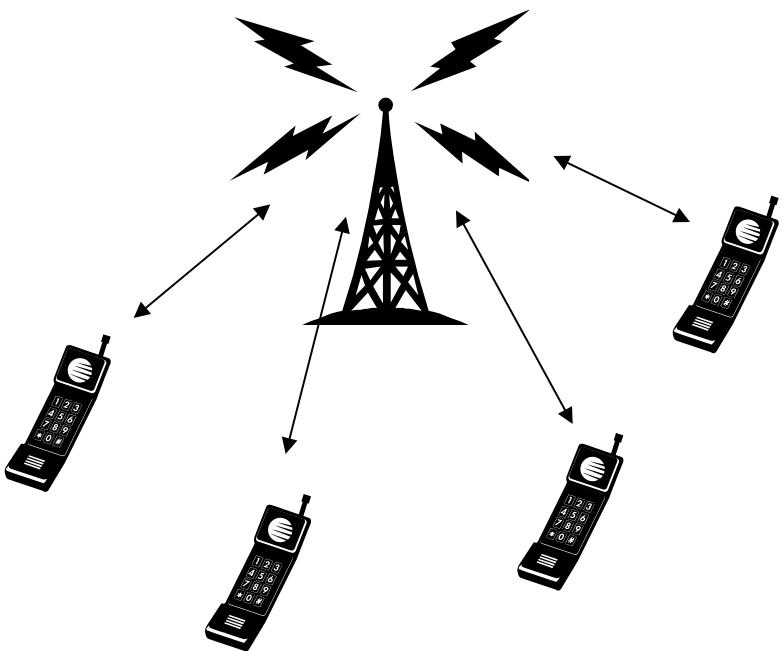
## Bluetooth Specifications

- Allows small portable devices to communicate together in an ad-hoc “piconet” (up to eight connected devices).
- Frequency-hopped spread-spectrum in the 2.4 GHz UNII band.
- Interferes with 802.11b/g/n
- 1600 hops/sec over 79 channels (1 MHz channels)
- Range set at 10m.
- Gross data rate of 1 Mbps (TDD).
  - *64 kbps voice channels*
- Second generation (Bluetooth 2.0+) supports rates up to 3 Mbps. Competes with Wireless USB.



# The Multiple Access Problem

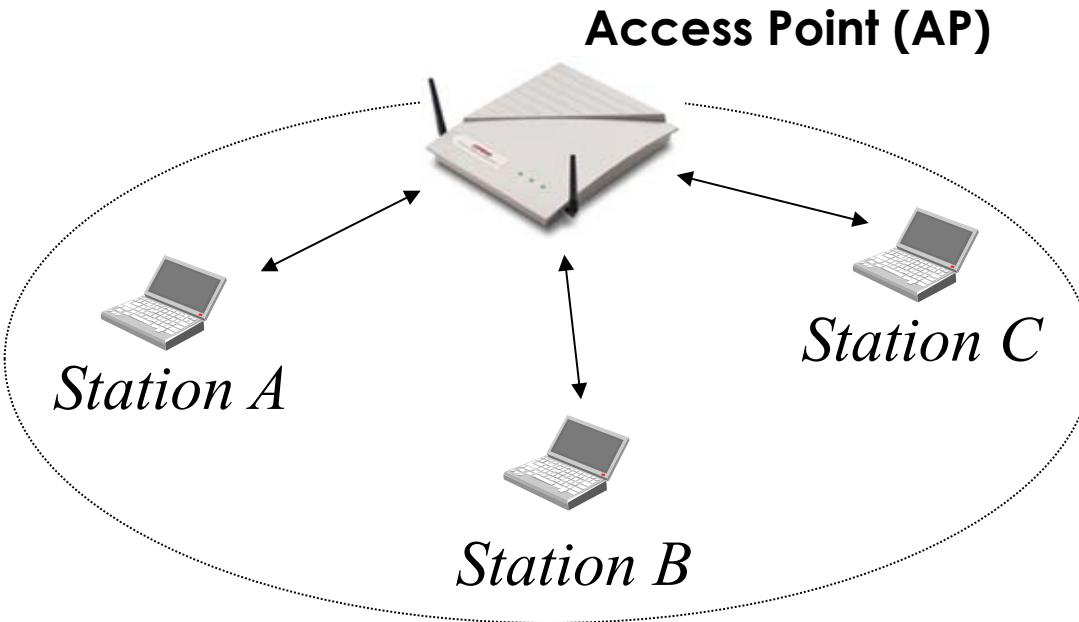
How can multiple mobiles access (communicate with) the same base station?



- Frequency-Division (AMPS)
- Time-Division (IS-136, GSM)
- Code-Division (IS-95, 3G)  
Direct Sequence/Frequency-Hopped
- Orthogonal Frequency Division  
(WiMax, 4G)
- Random Access  
(802.11, wireless data)



# 802.11 Random Access

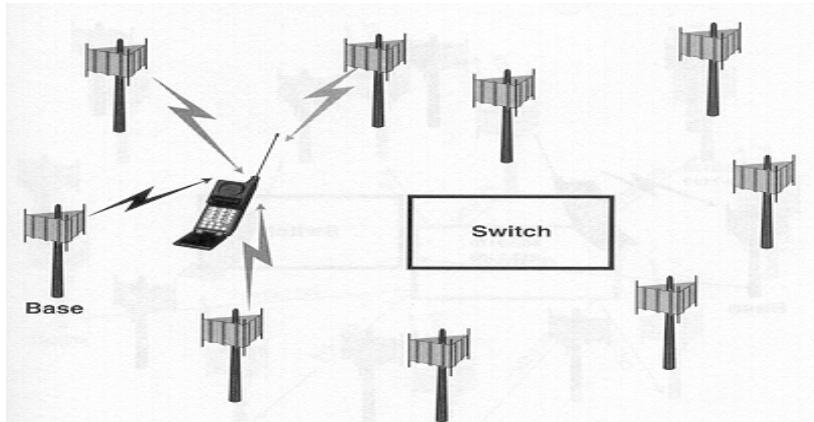


Terminals send/receive messages (packets) to/from the AP at **random** times (i.e., when they appear).

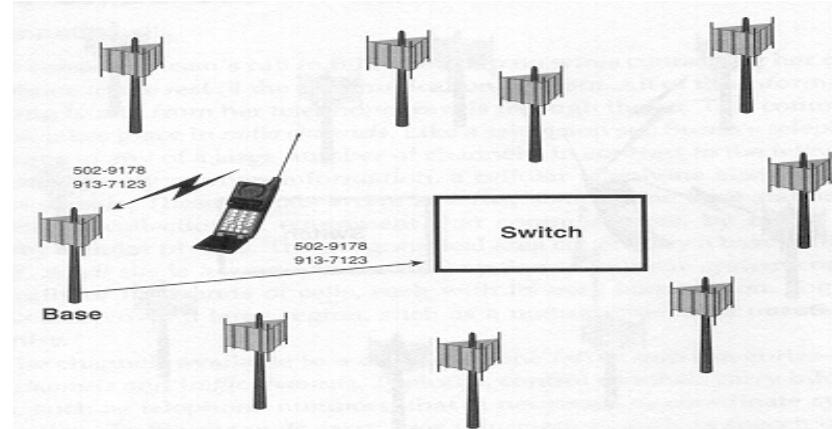


# Cellular Call Setup (Random Access)

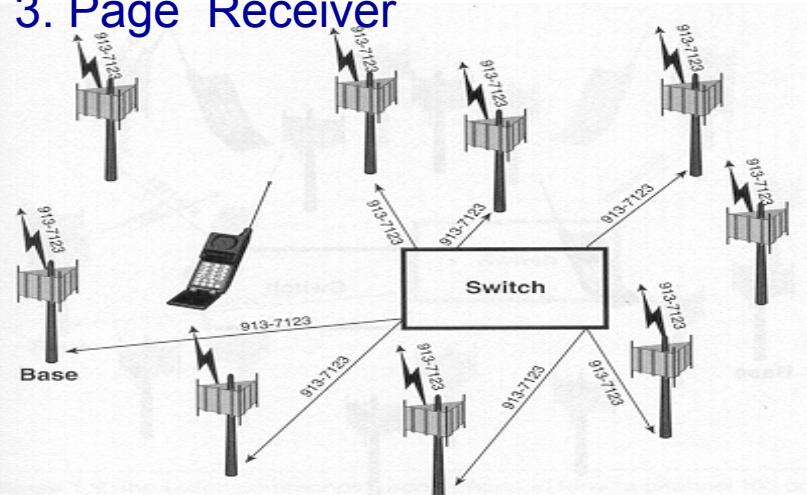
## 1. Call Request



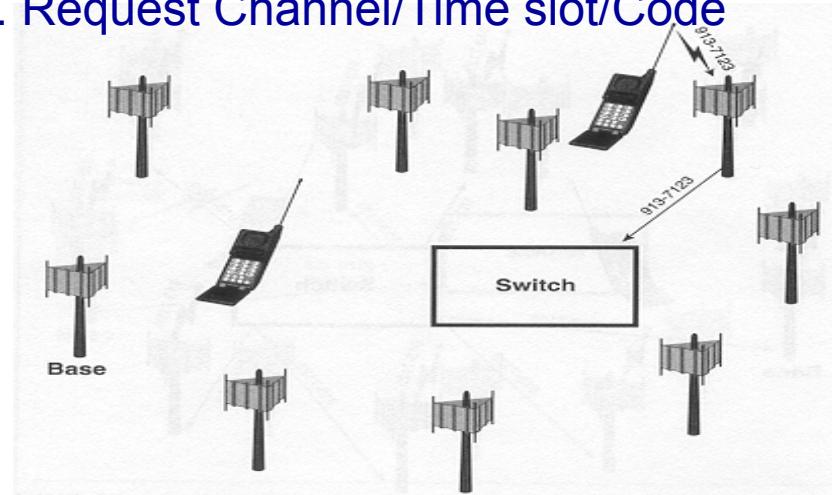
## 2. Send numbers to switch



## 3. Page Receiver



## 4. Request Channel/Time slot/Code



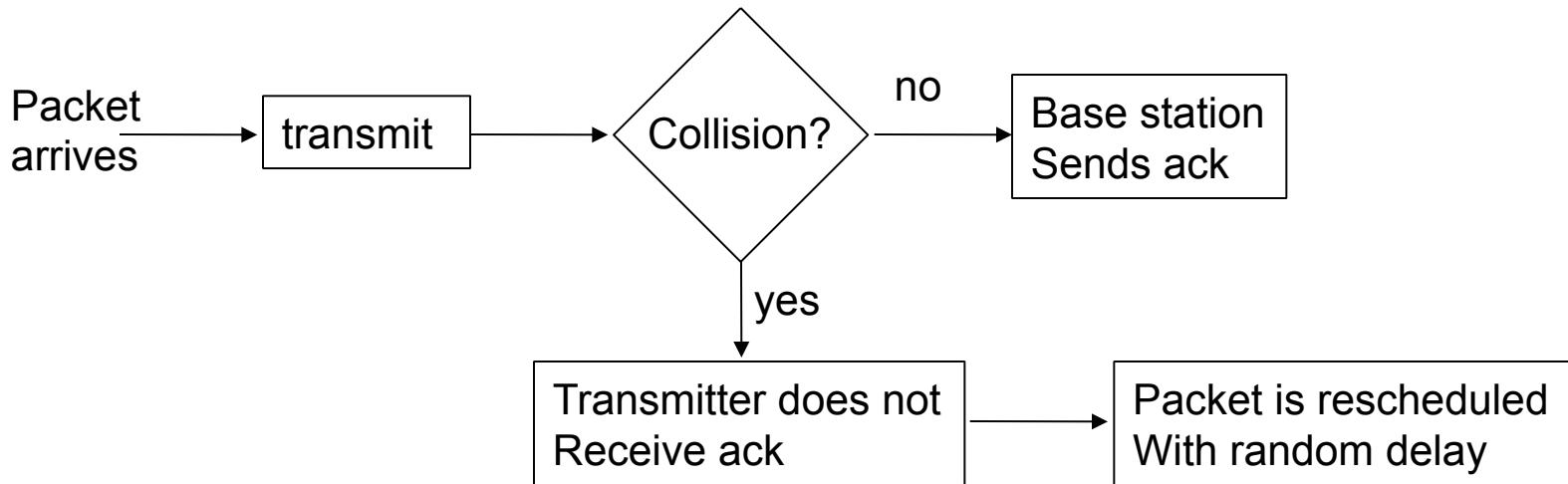


# Medium Access Control (MAC)

- **Fixed assignment access**
  - Each user is assigned a dedicated channel, time slot, or code
  - Appropriate for circuit-switched traffic, transferring long data files
- **Random access: users contend for access to the channel**
  - Users may collide, losing packets.
  - Sometimes can negotiate rate (bandwidth, time slots, codes) and power
  - Widely used in wired networks
  - Used in wireless networks for requesting channel/time slot/code, WiFi



# ALOHA-Based Random Access



- Simple: asynchronous
- Low throughput under heavy loads  
(maximum is 18% of incoming packets)
- Slotted ALOHA
  - Synchronous, maximum throughput increases to 36%
  - Used in GSM to reserve a time slot for voice connection
- Reservation ALOHA
  - Contention period followed by reserved message slots



# ALOHA Protocols

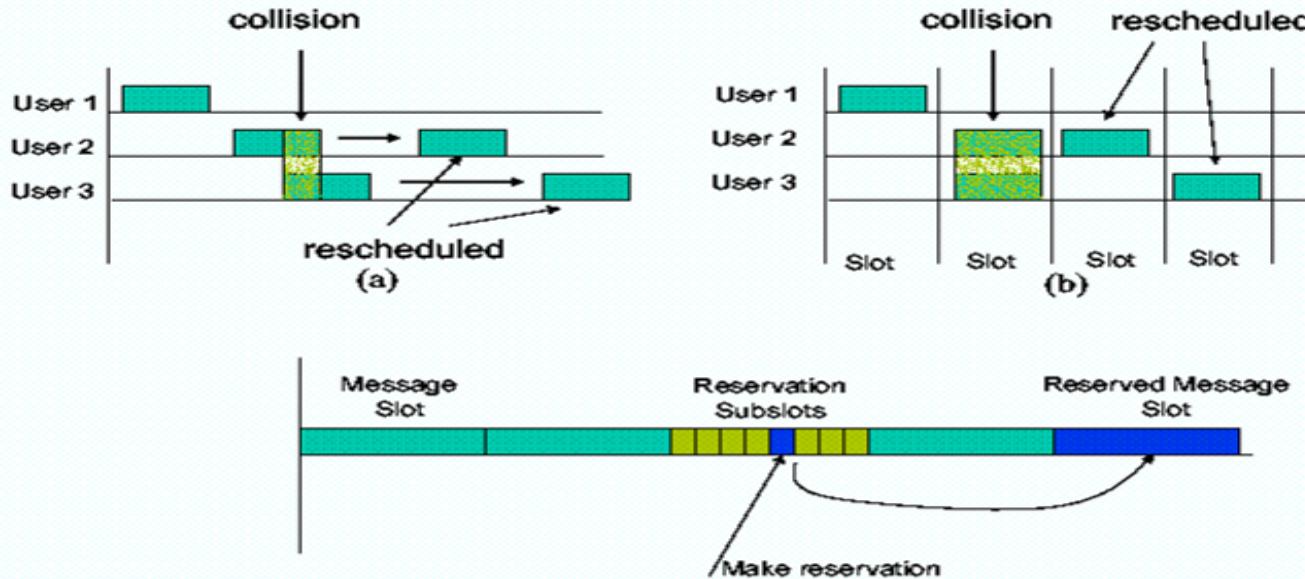
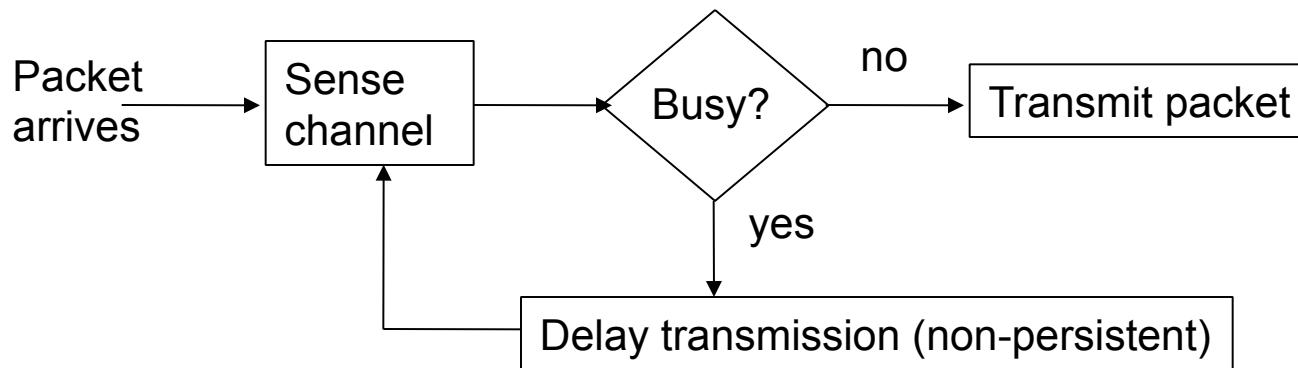


Figure 10: (a) Pure ALOHA protocol (b) Slotted ALOHA protocol (c) Reservation ALOHA



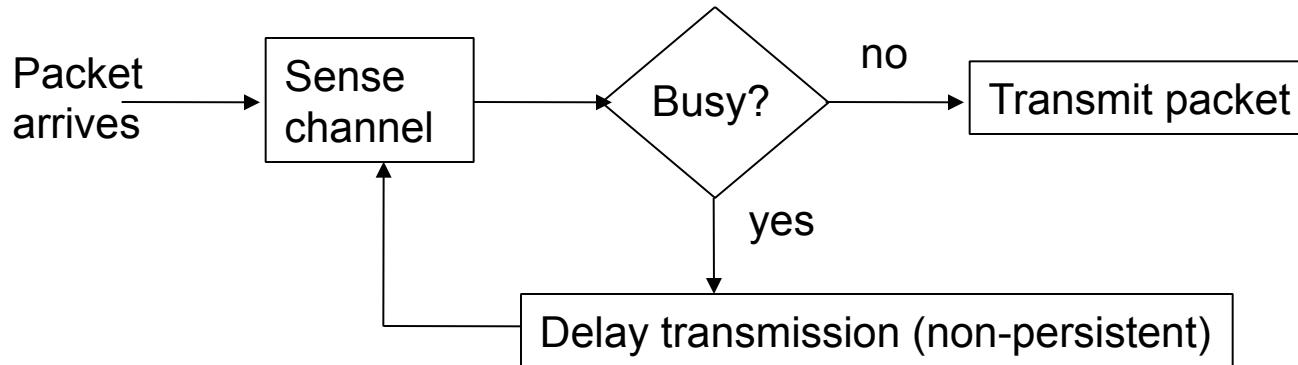
# Carrier Sense Multiple Access (CSMA)



- “Listen before talk” (LBT) protocol
- How do collisions occur?



# Carrier Sense Multiple Access (CSMA)



- “Listen before talk” (LBT) protocol
- Collisions occur if transmitters cannot sense the other transmission (e.g., due to large propagation delay)
  - Lower probability of collision/higher throughput than ALOHA
- Long propagation times → more collisions
  - ALOHA preferred for wide area applications



# CSMA Example

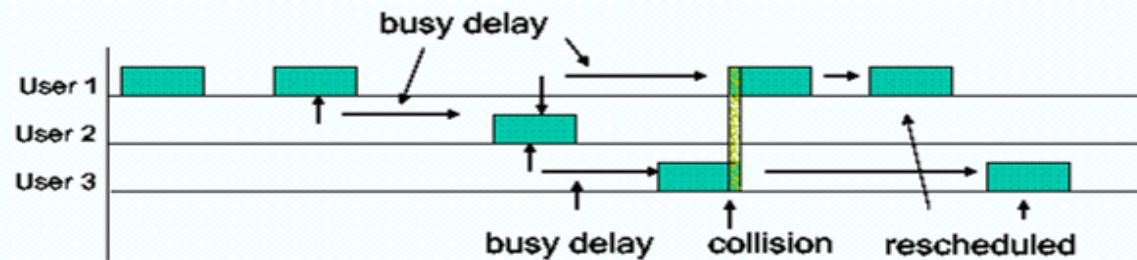


Figure 12: Basic operation of CSMA



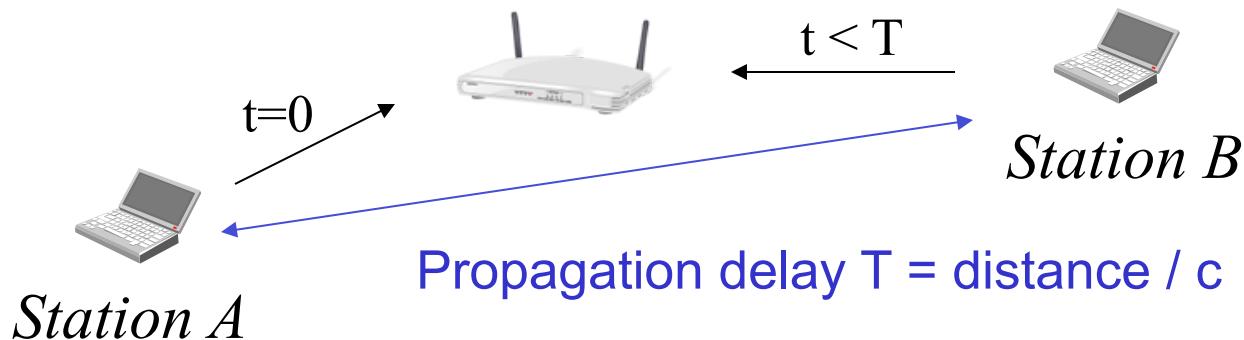
## Collision Detection: Worst-Case Delay



- A starts transmitting at time 0



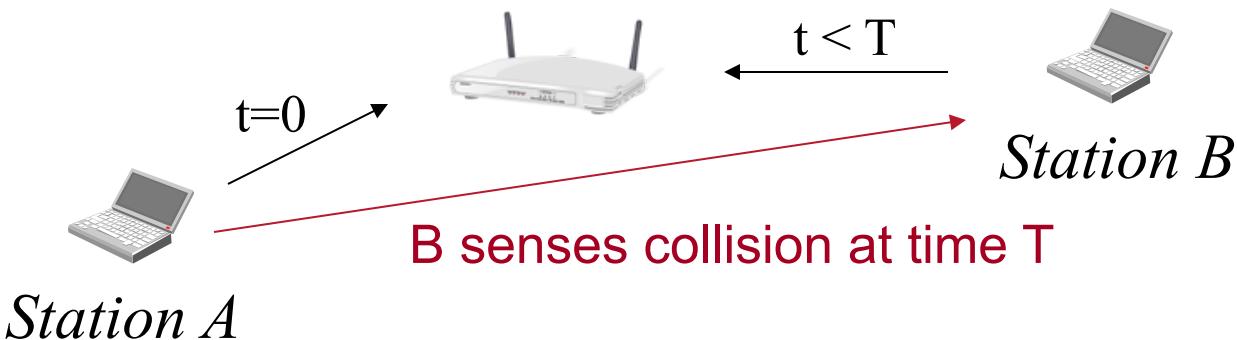
## Collision Detection: Worst-Case Delay



- A starts transmitting at time 0
- B starts transmitting just before time  $T$  (channel is clear)



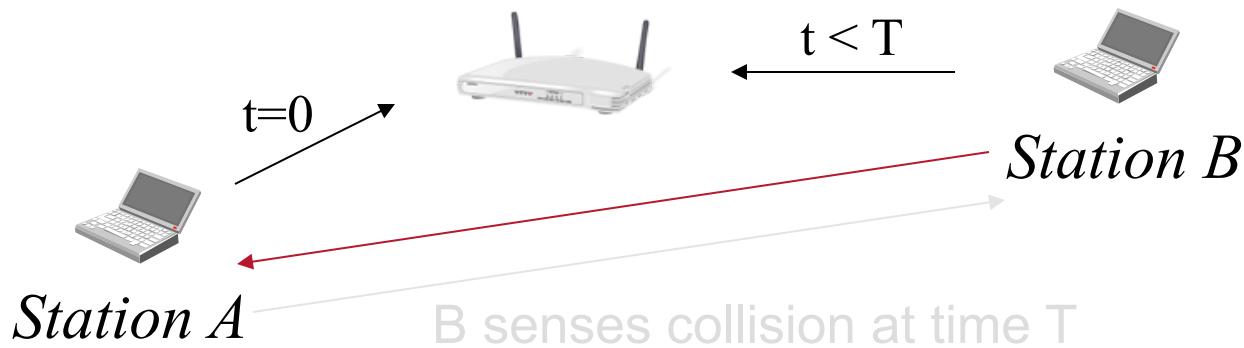
## Collision Detection: Worst-Case Delay



- A starts transmitting at time 0
- B starts transmitting just before time T (channel is clear)
  - B hears A just after it starts to transmit.



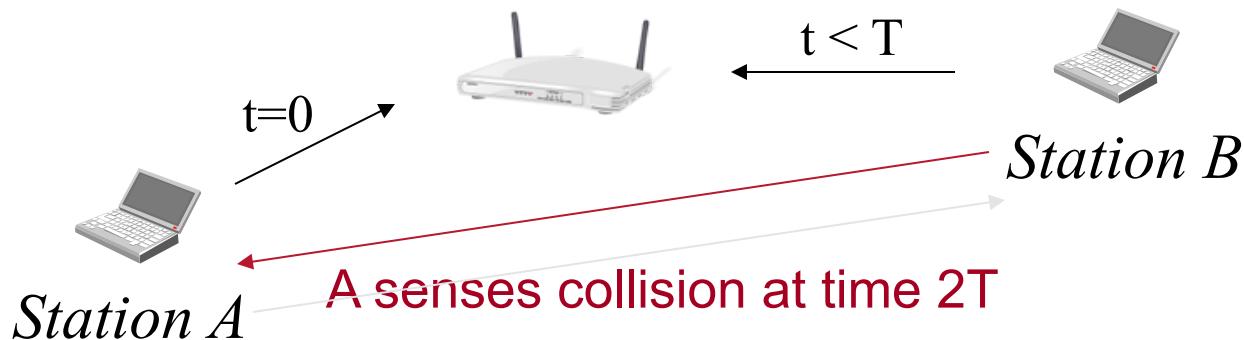
# Collision Detection: Worst-Case Delay



- A starts transmitting at time 0
- B starts transmitting just before time T (channel is clear)
  - B hears A just after it starts to transmit.
- B's initial transmission travels back to A



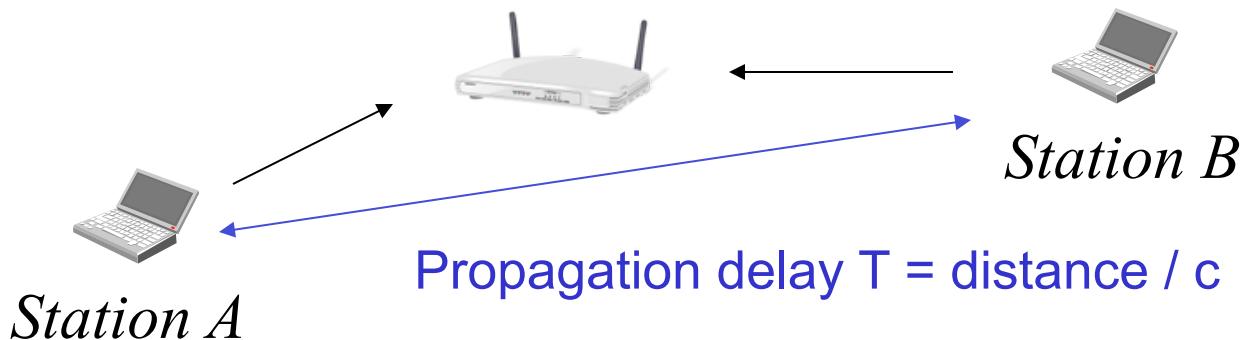
## Collision Detection: Worst-Case Delay



- A starts transmitting at time 0
- B starts transmitting just before time T (channel is clear)
  - B hears A just after it starts to transmit.
- B's initial transmission travels back to A
  - A senses a collision at time 2T



## Maximum Separation



- Worst-case delay before collision is  $2T$
- As  $T$  increases, probability of collision increases, more bits can be lost during a collision
  - Imposes maximum separation between stations
- 802.11b: maximum separation between station and router is 100 M  
 $T = 200/c = 2/3 \text{ microsecond}$   
Data rate 11 Mbps  $\rightarrow$  maximum of 8 bits are lost in collision

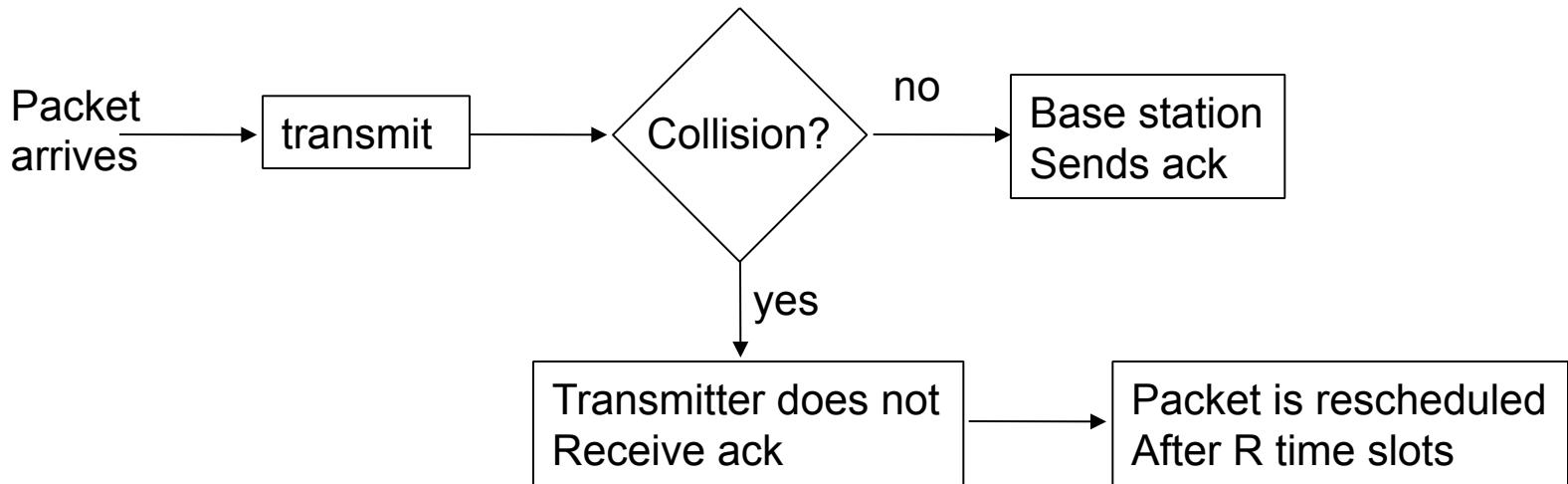


# Carrier Sensing

- **Nonpersistent:** After sensing a busy channel, the terminal senses the channel after a random waiting period
- **Persistent:** The terminal senses the channel until the channel becomes free.
  - **1-Persistent:** After the channel becomes free, the terminal transmits immediately.
  - **p-Persistent:** The terminal transmits with probability  $p$ .



# Binary Exponential Backoff



- R is random
- Maximum of 16 retries
- Time slot  $\geq 2 \times$  (maximum round trip delay)

After 1<sup>st</sup> collision:

$R=0$  or  $1$  with equal probability

After 2<sup>nd</sup> collision:

$R=0,1,2$ , or  $3$  with equal probability

After  $i^{th}$  collision ( $i=1, \dots, 10$ ):

$R$  is selected between  $0$  and  $2^i-1$



# Performance

- **Throughput (S):** Average number of successful packet transmissions per unit time.
  - Normalized throughput: Percentage of successful packet transmissions (per time slot or time unit)
- **Average Delay (D):** Average waiting time before successful transmission
- **Offered Traffic (G):** Number of packet transmission attempts per packet time slot – includes both new arrivals and retransmissions.
- Performance depends on the propagation delay across the network relative to the packet duration.



# Throughput vs. Offered Load

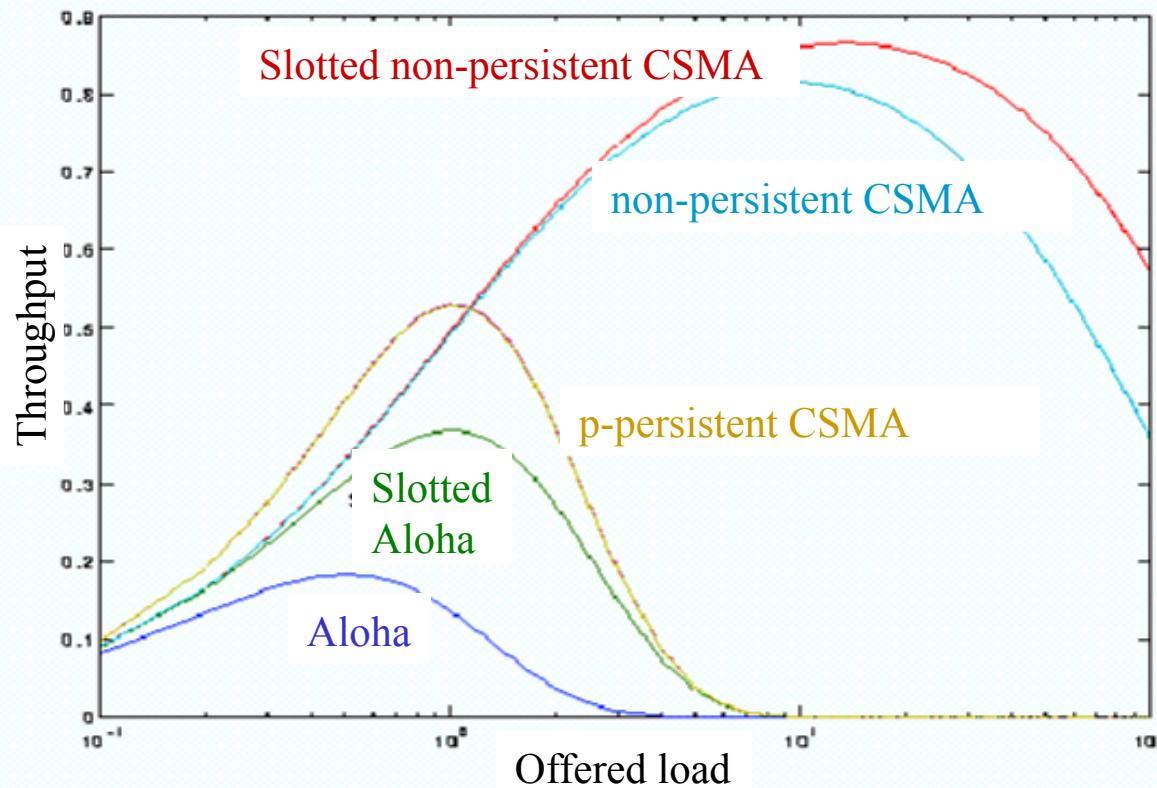


Figure 18: Throughput  $S$  versus offered traffic load  $G$  for various random access protocols



# Throughput vs. Offered Load

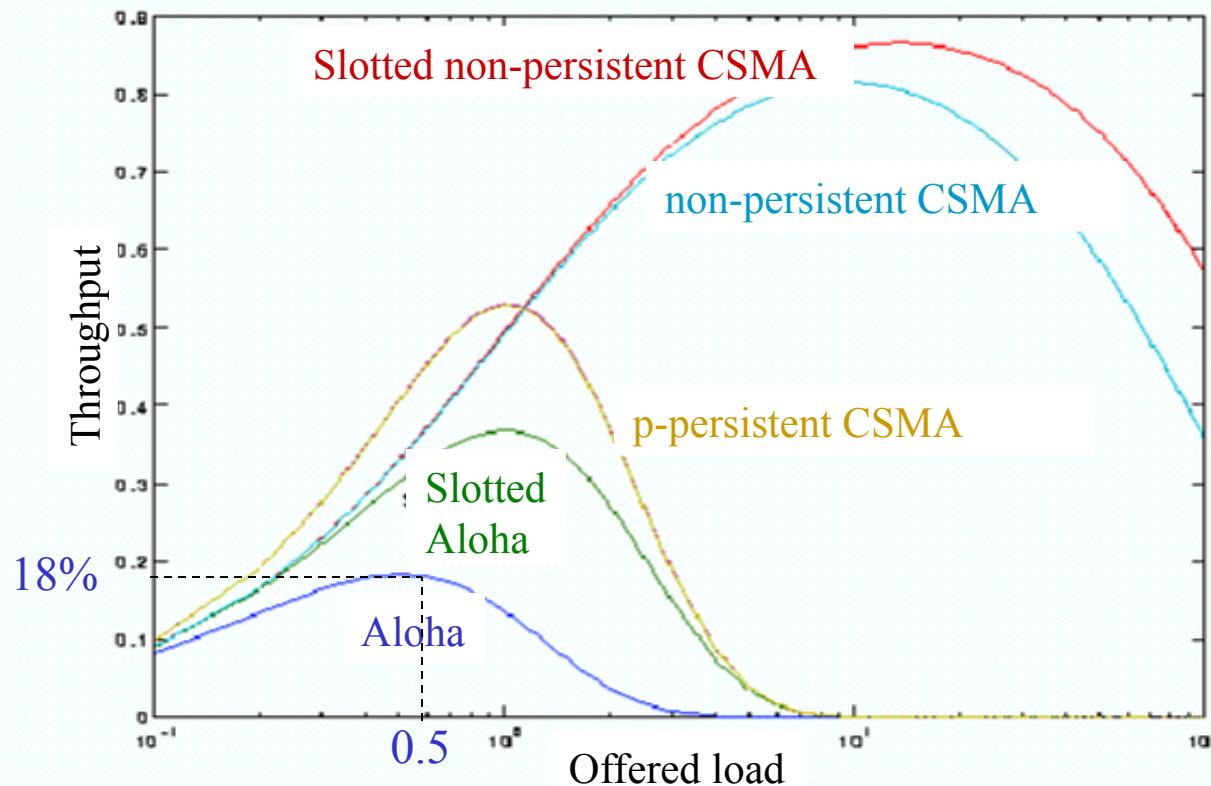


Figure 18: Throughput  $S$  versus offered traffic load  $G$  for various random access protocols



# Delay vs. Throughput

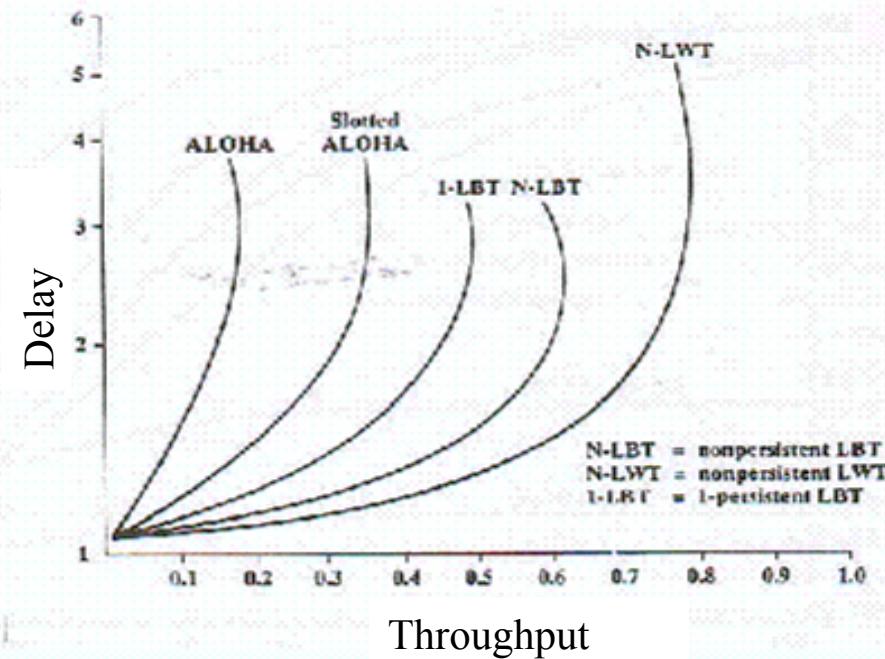


Figure 20: Delay versus throughput for various random access protocols